Introduction ........................................................................................................................................... 3 
Definitions and scope of this paper ........................................................................................................... 4 
1. The current policy context ....................................................................................................................... 6 
2. A literacy vision ........................................................................................................................................ 12 
3. Implications for policy ............................................................................................................................. 15 
4. Literacy links with social exclusion, health, offending and community participation ......................... 18 
5. Learning literacy skills .................................................................................................................................. 20 
  5.1 Learning theory ....................................................................................................................................... 21 
  5.2 Formal and informal learning .................................................................................................................. 21 
  5.3 Implications of learning theory on literacy learning ............................................................................... 22 
6. Influences on literacy learners .................................................................................................................... 24 
7. Successful literacy approaches among those at risk .................................................................................. 27 
  7.1 Promoting early language and reading skills ......................................................................................... 28 
  a) Engaging individuals and building relationships ................................................................................. 29 
  b) Meeting needs and interests ................................................................................................................ 30 
  c) Providing book experiences ................................................................................................................... 32 
  d) Working in partnership .......................................................................................................................... 33 
  Summary .................................................................................................................................................... 33 
  7.2 Building parents’ skills ............................................................................................................................ 35 
  a) Engaging parents and building relationships ......................................................................................... 35 
  b) Meeting needs and interests ................................................................................................................ 39 
  c) Providing book and reading experiences .............................................................................................. 41 
  d) Working in partnership .......................................................................................................................... 42 
  Summary .................................................................................................................................................... 42 
  7.3 Out-of-school-hours support ................................................................................................................... 44 
  a) Engaging children and building relationships ....................................................................................... 44 
  b) Meeting needs and interests ................................................................................................................ 45 
  c) Providing book and reading experiences .............................................................................................. 48 
  d) Working in partnership .......................................................................................................................... 50 
  Summary .................................................................................................................................................... 50 
  7.4. Motivating disaffected young people .................................................................................................. 52 
  a) Engaging individuals and building relationships ................................................................................. 53 
  b) Meeting need and interests ................................................................................................................ 54 
  c) Providing book and reading experiences .............................................................................................. 56 
  d) Working in partnership and with intermediaries ................................................................................... 57
Introduction

According to a recent study by the Centre for Analysis of Social Exclusion (CASE) at the London School of Economics\(^1\), poverty and social exclusion have been taken very seriously by this Government, resulting in high-profile targets, new policies and funding streams. Social exclusion was recognised to consist of multi-faceted and interlinked problems, with the cycle of disadvantage more clearly understood than ever before. Tackling educational standards, and literacy in particular, was an important dimension to the strategy to address social exclusion. Two reasons lie behind the thinking.

First was the aim to make Britain a more equal society and ‘close the gap’ by addressing issues that included area and neighbourhood deprivation, and educational attainment. Second, socio-economic factors also led to pressures for reform. The changing nature of the workplace and the increased complexity of traditional jobs, had led to an increase in demand for those with good literacy skills. Successive surveys commissioned by the Basic Skills Agency, however, had identified worrying levels of adult basic skills needs. The Moser report\(^2\) subsequently provided the framework for the Skills for Life national strategy for adult literacy and numeracy that was launched in 2001. Both these documents recognised the need to focus attention on groups at risk of social exclusion, those living in disadvantaged communities and those not attracted to more traditional learning programmes.

Policymakers look not just at the ‘stock’ – those adults most at risk of exclusion because of their poor literacy – but also at the ‘flow’ of young people entering and going through the school system. There are concerns for the numbers leaving school without sufficient ‘good’ GCSEs to access further education, training or work opportunities. There is greater recognition too of the need to support the role of families, especially those most in need.

This paper is for those concerned with the relationship between poor literacy skills and social exclusion, including policymakers, education professionals and service providers. It includes the evidence after two years of a three-year Basic Skills Agency National Support Project delivered by the National Literacy Trust, and follows an earlier discussion paper, *Literacy and Social Inclusion: the Policy Challenge*\(^3\).

---


The purpose of this paper is to review the evidence gathered and to provide a resource for those concerned with issues in this field. The evidence was developed from a dialogue with literacy practitioners working with those who were already – or were at risk of becoming – socially excluded because of their poor literacy, and also with those professionals across a range of policy areas who saw poor literacy skills as a significant factor in their own service delivery. Published research findings and official reports were also included in the evidence base.

The focus of the paper is on home and community literacy, rather than classroom practice, with five key areas:

- Promoting early language and reading skills
- Building parents’ skills
- Out-of-school-hours literacy support
- Motivating disaffected young people
- Improving the skills of adults at risk

The paper begins by discussing some of the challenges in the current policy climate in relation to literacy and social inclusion (section 1). It goes on to propose ‘the literacy vision’, outlining the ‘perfect literacy system’ which would best support at-risk literacy learners (section 2). The implications for policy are then considered (section 3).

Section 4 summarises the research evidence on the links between literacy and social exclusion while section 5 looks at literacy learning and, in particular, the role of informal learning.

Section 6 identifies the influences on literacy learners, and the key role of partnerships.

Section 7 identifies the key factors, and the underpinning research evidence, for successful literacy practice across the five key areas described above. Illustrative case studies are provided.

A **model for building parental skills**, which shows the outcomes for parents and children, and the impact on schools, communities and employment, is provided on page 73.

**Definitions and scope of this paper**

- **Social inclusion**
The term social inclusion is defined depending on the different perspectives of particular groups or analysts. According to the Government’s Social Exclusion Unit,
social exclusion results when people or communities face a combination of problems including unemployment, discrimination, poor skills, low incomes, poor housing, high crime, bad health and family breakdown\(^4\). Others see it as a lack of participation in everyday life, whether education, employment, community life or citizenship\(^5\). Another view focuses on one particular indicator, the ‘headline’ indicator of low income\(^6\). In this paper, social inclusion refers to a combination of the first and second definitions, recognising that these problems exist and need to be addressed for reasons of social justice and community cohesion.

**Definition of ‘at risk’**
The term ‘at risk’ is used in the paper as a shorthand for those who are at risk of social exclusion, and who also have poor or underdeveloped literacy or language skills. These include high-risk groups such as children permanently excluded or ‘missing’ from school, or those in care, Travellers and Gypsies, the homeless, prisoners, asylum seekers and problematic drug users. The term also includes other groups at risk at some stage of their lives, for example, those leaving school without any qualifications, young people not in education or training, long-term unemployed adults (parents and those without children), and those from some ethnic minority groups where cultural or language differences may lead to exclusion. Those with multiple challenges in terms of education, employment, housing or health\(^7\) are also part of the at-risk group.

**Special educational needs**
The paper does not cover children with special educational needs or adults with learning difficulties. These are fields explored in much greater depth by specialist organisations such as the British Dyslexia Association, RNID, the National Deaf Children’s Society and, where adults are concerned, by the National Research and Development Centre for adult literacy and numeracy. While the findings of this paper may find common ground in terms of the social inclusion dimension, there will be additional factors pertinent to particular learning difficulties that cannot be fully explored here.

**Skills for Life definition**
Where adults at risk are concerned, those with ‘poor literacy’ can be interpreted as the Skills for Life definition of ‘adults with literacy, numeracy or language needs’.

---


\(^7\) Social Exclusion Unit (2004).
1. The current policy context

Literacy is one facet of social policy; all policymakers need to consider whether public policy meets equity or social justice objectives, and whether it encourages efficiency in public service delivery.

Addressing poverty and breaking the cycle of disadvantage are two key approaches to tackling social exclusion. While the number of children brought up in poverty has been cut by 25%, according to the Joseph Rowntree Foundation, poverty among childless adults of working age has increased. In addition, many of those with the greatest and most complex needs have benefited least from the drive to tackle social exclusion, including those with few skills. According to the Social Exclusion Unit, the most disadvantaged people do not use services, including education and benefits, as much as others do, or gain from them as much when they do use them. This has led to a shift in thinking so that there will be less focus on ‘hard-to-reach’ groups, and more emphasis on developing services that are ‘easy to use’.

A key question for policymakers therefore is: does the current policy climate support the literacy skills development of those most at risk, with resulting benefits for service delivery?

Improving the general standard of literacy teaching of pupils, students and adult learners will, of course, benefit those most at risk of social exclusion. While there have been some improvements in the performance of average and above-average children, until recently this was not so for the most deprived. With increased spending on education since 1999, the latest evidence shows that progress in schools in the most disadvantaged local authority areas has been faster than elsewhere. Nevertheless, the CASE study highlights tensions between improvements for all and closing the gap.

Poverty of circumstances, and aspirations, puts some individuals at a disadvantage from an early age. Research has shown that children suffer from the social exclusion of their parents; the social and psychological effects start very early on in a child’s life.

---

8 Social Exclusion Unit (2004).
9 This point is explored further in Appendix 2.
and contribute to their own identity. The difference in achievement can be seen in children as young as 22 months, and the gap gets wider the older they get\textsuperscript{13}.

Government priorities are to achieve better outcomes for children, parents and communities through a range of policies designed to address social exclusion such as Sure Start, Neighbourhood Renewal including New Deal for Communities, and Excellence in Cities. Improving the life chances of children at risk is the focus of Every Child Matters\textsuperscript{14}, and its legislative framework, the Children Act. Literacy is recognised as the cornerstone of raising educational standards for children, initially through the National Literacy Strategy and now through the Primary and Key Stage 3 National Strategies.

The point was made during the National Literacy Trust’s consultation process last year\textsuperscript{15} that schools have access to catch-up programmes for underachieving children, with targeted support for underperforming schools. Guidance, including a video, has been developed by the Primary National Strategy and sent to primary schools on the most effective ways of working with parents. But schools need to find out about and build on reading and writing activities children engage in at home; Ofsted found that primary schools seldom use the broader range of materials pupils use at home as a starting point to further their reading in schools and improve their motivation\textsuperscript{16}.

Ofsted also found that primary schools that were successful in developing parental support for reading focused on specific initiatives that involved parents actively in reading with their children. General parental involvement was not enough\textsuperscript{17}. The evidence on extended schools is that while particular activities offered by them had beneficial, if limited scale, impacts, the activities tended to attract the less alienated children and adults. Case studies showed that relatively few initiatives aimed to engage parents in a dialogue about their child’s education\textsuperscript{18}.

The challenge for local authorities as they move towards greater integration of services for children, families and schools, is one of aligning their services and developing good communication between teams. It should be noted that making it someone’s role to coordinate literacy support can make a difference. There is evidence that the Skills for Families consultant role was crucial to the success of this national

\textsuperscript{15}A consultation period followed publication of the Trust discussion paper by Bird (2004).
\textsuperscript{17} Ibid.
pilot programme at local level\textsuperscript{19}, by providing the link – internally within local
education authorities, and externally with schools and Learning and Skills Councils. But there is no guarantee these posts will continue once funding runs out in March 2005, and who will promote the need for a family learning coordinator in authorities not involved in the pilot programme?

The Government acknowledges the need for more joined-up services\textsuperscript{20}. Opportunities for greater coordination should be provided via the proposed Children’s Centres and Children’s Trusts, and the stated priority for more extended support (‘educare’) through all-day schools and more family learning. However, providing support for at-risk parents needs to go further than the provision of materials and videos, and more opportunities for childcare. If budgets are tight, what guarantee is there that family learning programmes will be a priority? Family learning, with links to more formal family literacy programmes and skills learning, needs to be part of the core offer to at-risk parents.

The aspiration for Every Child Matters\textsuperscript{21} is not only to protect children and improve their care, but to go beyond and improve the life chances of children at risk with implications for parenting, fostering, young people’s activities and youth justice. The new Directors of Children’s Services in local authorities will be charged with delivering the five outcomes for children: being healthy; staying safe; enjoying and achieving; making a positive contribution; and economic well-being. This vision for children’s services offers the opportunity to develop a locally-driven strategy to ensure home literacy support for the most vulnerable children and their families. Some library authorities are doing pioneering work bringing books into children’s homes, but there is no focus on home literacy support in the national guidance. It is still early days and many authorities are still in the process of working out new local structures and partnerships. New regional change agents within government offices in the regions will be charged with supporting the process at local level; they could be influential in getting home literacy support on the Every Child Matters map.

Connexions – which faces an uncertain future – found considerable challenges in partnership working, highlighted in a recent Joseph Rowntree report\textsuperscript{22}. Yet, evaluation by the Department for Education and Skills (DfES)\textsuperscript{23} showed that it was making a positive impact with young people at risk. Given the multiple risks in young people’s

\textsuperscript{22} B. Coles, L. Britton and L. Hicks (2004) Inter-agency work and the Connexions service, York: Joseph Rowntree Foundation.
lives, the authors stress that a holistic non-stigmatising approach was most effective and single-stranded interventions had less impact. This raises questions for whoever – in the future – takes responsibility for at-risk young people, about how basic skills work is approached with this disaffected, extremely challenging group.

The Skills for Life national strategy for improving adult literacy and numeracy is part of the national Skills Strategy to increase the numbers of young people and adults with adequate skills. It is recognised\(^\text{24}\) that help with adult basic skills needs to be delivered in ways that suit learners’ circumstances, such as in the workplace and in communities. However, consultation responses to the Trust discussion paper\(^\text{25}\) showed there were concerns about how basic skills work with at-risk young people and adults in communities, and especially in rural areas, is both supported and funded. Many rural or coastal environments, while scenic to the casual observer, often mask huge failings in housing, infrastructure, transport and other local services, which add to the difficulties for people to take up learning opportunities.

The point was also made that work to develop trusting relationships and build confidence, an important factor in social inclusion work and highlighted in this paper, is not necessarily best carried out by highly trained professional literacy tutors. This is a controversial issue. While having professional knowledge is clearly important, this should not be at the risk of rejecting those with the personal qualities to develop relationships with disadvantaged adults. At a time when there is still a shortage of basic skills tutors in some areas, decisions may have to be made at local level to employ those without the full qualifications, with the proviso that they will receive support, and access to professional training.

Other barriers that inhibit the long-term engagement of at-risk adults in learning mentioned during the consultations included: short-term projects; pilots that end without lessons being learned and successful activity mainstreamed; and a pre-occupation with immediate targets. Another point that needs to be mentioned is the ambivalence and, occasionally, underlying hostility of some potentially influential sectors and institutions towards the Skills for Life agenda. For example, a preoccupation with basic skills targets, at the expense of other education and training opportunities for prisoners, has been highlighted as a matter of concern by the National Institute of Adult Continuing Education (NIACE)\(^\text{26}\), and the Forum on Prisoner Education.

\(^{25}\) Bird (2004).
Launched in 2001, Skills for Life is a relatively young strategy. The National Audit Office acknowledged that the low level of basic skills was a big problem, which could only be addressed through action over the long term. In responding to the Trust consultation, the Skills for Life Strategy Unit recognised that there were “issues and challenges facing all of us seeking to eliminate social exclusion and meet the needs of all children, young people and adults.” In response to the issues surrounding funding, its availability and the need for it to be available flexibly, the point was made that while this was essentially the province of the Learning and Skills Council, social exclusion was the result of many factors, and not all of them could be addressed by education policies or by the education budget itself.

In its response to the consultation, the Neighbourhood Renewal Unit recognised that improving basic skills was fundamental to the neighbourhood renewal agenda, and acknowledged that the principle of allocating neighbourhood renewal funding to community literacy approaches, including outreach/development work, was a good idea. It noted that to do so would mean learning providers engaging with Local Strategic Partnerships at local level.

Public libraries operate at the heart of local communities, providing access to book collections, internet access (through the People’s Network) and other learning resources. Government policy states that library services should promote social inclusion and this is taking effect, though perhaps not uniformly. A recent report shows that many cultural institutions have interpreted their role as being to widen access for socially excluded groups rather than to reach out to impact them. It also suggests that the museums, libraries and archives sector has a role to play in overcoming social isolation (both for groups and individuals), promoting intercultural understanding and providing safe places for meetings. Around 75% of library authorities believe they should support adult basic skills activity. The ‘national offers’ being developed by the Department for Culture, Media and Sport, via Framework for the Future, should help to ‘level up’ library services.

Addressing worklessness by helping at-risk adults with multiple challenges will not be solved easily, and indeed must be looked at in the context of individuals’ lives, the effect on their benefits and pensions, and with regard to local employment opportunities, as well as the personal, health and social situation they are in. There is a danger that front-line workers in employment services will take a simplistic view of

---

28 See www.inspiringlearningforall.gov.uk.
the issues, referring at-risk adults on to learning providers without understanding the real (and perceived) barriers they face.

The final point concerns targets and how we measure progress, since general targets make it easy to ignore the more challenging learners of all ages. A focus on broad targets may therefore mask a lack of progress by those most at risk. A target for the engagement and support of at-risk learners needs to be introduced. We also need to track those with most to gain from learning, taking into account the need to measure small steps of progress, such as increased confidence, regular attendance at learning provision, and engagement in literacy activities as well as using summative tests. Where adult literacy learners are concerned, will the Learning and Skills Councils take responsibility for developing a unique learner code that will make it easier to measure progress over time, especially where learners have experienced different types of provision and support?

Some challenges – and opportunities – for policy have been identified. Before the implications for policy are considered, let us look at – in an ideal world – what a ‘perfect literacy system’ for those at risk would look like.
2. A literacy vision

When looking at complex problems and working through long-term solutions, it is important to think strategically and consider what our vision might be, before taking action. In considering the vision, the following points should be taken into account: is the vision feasible, what might our own role be in making this vision a reality, and who do we need to persuade for the current situation, as we know it, to change for the better? A re-designed system should be delivering the following:

- **All parents** talk to their babies and very young children, appreciating that their noises and babbles are ways of communicating with those around. They are not embarrassed to encourage their children’s chatter in public, or at home, but use opportunities to explain the world they see and hear around them. They sing nursery rhymes and songs they are familiar with.

- **All mums, dads and carers** understand the value and importance of books and reading with their very young children, an activity they enjoy together on a daily basis because they understand the power of the book to excite, inform and soothe their developing children. Owning books and visiting the library are valued because they know that an enjoyment of books and reading provides the foundations of later learning and school achievement. Children’s early marks on paper, and drawings, are encouraged and recognised as the first stages of writing. All parents know how they can access informal family learning opportunities in local venues where they feel comfortable, starting while their children are very young and continuing as long as they need it.

- **At-risk children** are encouraged by adults from an early age – at home, nursery, school and in the neighbourhood – to speak with confidence, to listen to other points of view and to sustain a conversation. With daily opportunities to enjoy stories and nursery rhymes, they have favourite books and tapes. As emergent readers and writers at school, they are confident they will get encouragement, help and recognition of their successes, from the adults in their lives.

- **Primary schools** are skilled at early identification of at-risk children who struggle to acquire literacy skills. They provide additional literacy support by trained staff, and encouragement for leisure reading through reading mentors; these may be older children, parents or community volunteers. They are active in building relationships with at-risk parents and carers, promoting library membership and ways they can give their children literacy support at home. Where children have a combination of literacy, emotional and behavioural...
needs, appropriate coordinated help is provided; where their parents also have literacy needs and other pressing issues, they are included as part of a family support package.

- **Teachers, playgroup and nursery workers, library staff and learning assistants** understand the importance of encouraging at-risk children to read for pleasure. These professionals are proactive in facilitating informal, hands-on family learning experiences to develop literacy confidence in at-risk parents and children and know how to identify parents with literacy needs. They can guide parents to places where they can get advice and more formal help with their skills development. They appreciate that some parents may need a lot of encouragement to access this help.

- **Family learning staff** know how to identify where parents have a literacy need, and how to support them into appropriate family literacy programmes run by family literacy professionals who are knowledgeable about children’s literacy development as well as adult literacy teaching methods.

- **At-risk young people** are identified by schools at secondary transfer by a trained member of staff. A personal support plan to improve their language and literacy skills is in place, shared and regularly reviewed with parents and carers. Intensive help is provided in curriculum time, using new technology and other motivational approaches but with a clear skills focus. Trained business/community mentors, or peer reading partners, provide out-of-school-hours support to build up reading confidence and a focus on learning.

- **Secondary schools** prioritise literacy and language skills development, finding new ways of helping at-risk young people who fail to acquire good literacy skills. They understand this might mean setting up partnerships with sports clubs, the youth service and through the arts, to develop a young person’s confidence and a ‘can do’ attitude, building in specific literacy and communication skills outcomes. Success is celebrated and used as an opportunity to link future skills learning to academic, vocational and personal goals. Learning assistants are trained to identify literacy needs and support young people’s literacy progress.

- **At-risk adults** can get help with literacy through venues local to them – this may be at the local library, faith or community group, arts, sports or community centre – where they can access informal learning opportunities, help to tackle issues that are important in their lives, as well as support to improve their literacy skills.
• **Colleges and other learning providers** organise their provision to provide a variety of entry points for at-risk adults, recognising the value of working in partnership with agencies with a different professional expertise and community organisations that have a special relationship with at-risk individuals. They fund training to raise awareness of literacy issues, and support skills training for employees and volunteers in partner organisations where a need has been identified.

• **Cultural agencies** recognise their unique role in supporting literacy learning for those at risk. Family-friendly libraries and museums work in partnership to support family learning activities, including support for Bookstart, study support and fun approaches to learning for at-risk children and young people. They also make it possible for at-risk adults to access their resources by having clearly signposted, easy read collections, with staff trained to identify literacy needs and to provide learning support where appropriate.

• **Youth, and voluntary and community organisations** are willing, and knowledgeable about how to help at-risk young people and adults develop their literacy confidence and enjoyment. They look for informal opportunities to promote their users’ self-confidence and practical literacy skills, helping them to progress in their formal learning.

• **Employers and job-related organisations** not directly involved in formal learning provision appreciate that they have a crucial role to play as intermediaries in the learning process, and support ‘literacy champions’ to promote a learning culture in their organisations. They encourage employees, volunteers and service users with low skills to get involved in literacy-related activities and skills improvement, with the understanding that it can take time to build confidence and a ‘can-do’ attitude to learning.

• **Central and local government** acknowledge the vital contribution that homes and communities play in the Government’s priorities around education and social exclusion. They provide direction and support for the development of a home and community literacy strategy at local level, with specific long-term targets, so that those most at risk from their poor literacy are specifically included as part of mainstream service delivery.
3. Implications for policy

If this vision is to become a reality, policymakers, service providers and funders need to take account of the following factors:

**Recognising that literacy is not just a classroom issue**

Strengthening the literacy capacity of homes and communities will benefit school outcomes. There should be universal family learning opportunities to promote home literacy support for children at risk and their parents and carers. Children’s Centres, extended schools and those responsible for implementing Every Child Matters\(^{31}\) should prioritise a home literacy support function, in partnership with public libraries. Links should be made, where appropriate, to the Foundation Stage, Primary and Key Stage 3 National Strategies, and Skills for Life.

**Fostering local leadership**

Leadership is needed at local level to drive the vision described above to establish literacy support systems for at-risk homes and communities. High-level political and professional leadership is needed to set up the local infrastructure, harness strategic support for inter-agency partnerships and to appoint a person to make the vision a reality. That person provides a different leadership role, defined by facilitation, collaboration and coordination, both developing the local vision and interpreting partners’ needs. The role involves facilitating the links between formal and informal learning, and translating the vision into positive action by securing funding for on-the-ground project work and promotion.

**Supporting literacy partnerships**

For effective partnerships, different perceptions of partners need to be articulated and solutions worked through. The time it takes to develop good relationships with partners and with disaffected learners, which is part of the learning process, is normally underestimated. Where insufficient time (or funding) is provided, issues may remain unresolved and minor partners (but perhaps important ones in terms of their influence with those most at risk) are more likely to withdraw, thus reducing the potential impact on the literacy learner. There will be resistance by some potential partners who may not be willing to support the literacy skills agenda, either for the reasons given above, or because they need to be convinced that in doing so they will meet the immediate needs of their service users. They may also need reassurance that this work will be recognised as intrinsic to their professional responsibilities.

---

Recognising high-quality literacy teachers
Across the board, supporting at-risk young people and adults to improve their literacy skills requires targeted use of the best teachers available who can motivate, personalise learning and provide opportunities for students to achieve the important small steps to success. This will have implications for target-based policies. Continuing structural issues in delivering high teaching standards, identified by Government inspections, include teacher quality and the stock of trained adult basic skills teachers. These factors impact on the ability of institutions working with at-risk children, young people and adults to deliver high-quality teaching and learning.

Valuing and training intermediaries
Breaking down barriers to learning may be helped by enlisting the support of trusted intermediaries, for example, reading or learning mentors, family learning tutors, librarians, neighbourhood agents, community, and front-line workers. Intermediaries will need specific literacy awareness training to help them play their role more effectively. Some low-paid intermediaries or volunteers may have inadequate skills levels themselves and, in some cases, basic skills needs. In these cases, advice and support will be needed to help them improve their own skills too.

Taking a wider view of literacy skills learning
For at-risk young people and adults, consideration should be given to providing a social environment for talking about issues relevant to them as part of the overall learning package and, in the process, developing their oral communication skills and self-confidence. It means developing a ‘negotiated’ curriculum to give people some control over how and what they learn. Initially there may not be a literacy dimension, but teachers need to know how to build in a literacy skills focus over time, being explicit about an individual’s literacy achievements and promoting a dialogue about what he or she wants to achieve next. This has implications for shared priorities (see below).

Providing funding for community-focused literacy learning
Skills for Life, Learning and Skills Councils, health, regeneration and social exclusion agencies need to recognise, and properly fund, a community-focused approach to family learning and to provision geared to improve the literacy skills of at-risk young people and adults. This means core funding to develop partnerships, activities to engage learners – with an allowance for risk-taking to reach new audiences – and meeting additional costs for childcare, transport, incentives and rewards to celebrate progress.

There is a need to look beyond short-term projects and focus on empowering individuals at risk, building self-efficacy, motivation to learn, and the resilience to continue, despite the odds. Funding agencies also need to recognise that at-risk
learners may drop in and out of provision because of their complicated lives; providers who support at-risk learners should get sufficient funding to help them ride the attendance see-saw including, where necessary, one-to-one literacy support.

**Making targets work for those at risk**
Targets provide a clear focus, and public accountability, but their presence – or absence – may result in unintended consequences. Attainment targets promote focused literacy teaching but too great a concentration on learning outcomes may put off some individuals with complex problems. Schools have attendance targets; adult literacy providers should also have participation targets to focus support for at-risk adults. There should also be incentives for colleges to develop partnerships with local agencies and the voluntary and community sector to help recruit, and retain, at-risk adults in learning. Developing shared priorities and targets across services should highlight the link between poor literacy and improved service delivery. Local Strategic Partnerships and Learning and Skills Councils should be addressing this together.

**Developing a better evidence base**
Tracking systems need to be in place to record the progress of at-risk learners over the longer term so that we better understand the positive and negative experiences that have helped, and hindered, their literacy progress. This would also persuade funders that an investment in at-risk groups of learners will bring social and economic benefits in the long-term.

The following sections will consider the evidence base that has led to the above conclusions.
4. Literacy links with social exclusion, health, offending and community participation

The research evidence that links education, and in particular literacy, to social exclusion is summarised below:

- There is clear research evidence that educational failure is strongly associated with the process of social exclusion. There is a causal relationship, but it can be reversed. Education, and the outcomes measured by educational attainment in the form of qualifications and test scores during compulsory schooling, is the most frequent and most effective predictor of adult outcomes, and of social exclusion32.

- There is also strong evidence that having poor basic skills impacts on adult outcomes, especially for individuals at high risk of social exclusion from other factors33. At age 16, over half of boys with poor reading skills think school is a waste of time and nearly four in five want to leave school as soon as possible. Poor reading is predictive of adult social exclusion; additional risk factors in childhood include social class, parents’ education and living in overcrowded housing. An additional risk factor is having parents with little interest in their child’s education or who are unsure about, or do not want their child to pursue, education or training post-16.

- There is a statistically significant connection between repeated offending and poor basic skills34.

- There are significant differences in health-related practices and mental health between those with poor basic skills and those with good basic skills35. It should be noted, however, that while poor literacy does not cause ill health, it does restrict access to information for ‘good’ health-related practices.

- Men and women with poor basic skills at risk of social exclusion are less likely to feel satisfied with their lot, or to feel in control of their lives, compared with

---


33 Parsons and Bynner (2002).


those with good reading skills. They are also less likely to be involved with community groups.

Additional Points

- The research literature suggests that a lack of ‘capabilities’ leads individuals to become socially excluded. These capabilities relate to cognitive development and educational success, but also extend to health and social participation. These are gained – or not – over time, and are important to the achievement of adult identity, especially adult employability.

- The role of families is critical in the development of children’s capabilities. Income (or lack of it), parental education, health (physical and mental) and well-being are strongly influential. Other important factors include parenting style and whether parents do education-type activities outside school, for example, reading with their children.

- Acquiring capabilities is not restricted to childhood, although the primary phase is a key period when these exclusion processes can be reversed.

- The concept of ‘lifelong learning’ recognises that the potential for learning, and therefore increasing capabilities, continues throughout adult life.

- Adults with basic skills needs are not homogeneous, and transitions can be eased by supportive personal or work relationships.

In summary, there is a proven link between being a poor reader and later social exclusion. However, there are protective factors, including the behaviours and attitudes of parents and families, and supportive personal or work relationships.

---

36 Ibid.
41 M. Cieslik and D. Simpson (in preparation) *The Role of Basic Skills in Transitions to Adulthood*, Middlesbrough: School of Social Sciences and Law, University of Teesside.
5. Learning literacy skills

Introduction

The traditional UNESCO definition of literacy is “the ability to read and write, with understanding, a short simple sentence about one’s everyday life”. This is currently being re-examined; literacy will no longer be presented as a single concept, but rather as ‘literacies’, in recognition that there are different types and levels of literacy. Learning literacy skills depends on governments to provide literacy education but also, “On individuals’ family and the socio-cultural context and their attitude to printed matter.”42 In other words, homes and communities are influential in the acquisition of literacy skills.

Families provide the foundations for early literacy among very young children. Language – that is speaking, listening, comprehension and vocabulary – is learned mostly through interaction with the environment and with adults. Parents do this through conversation, encouraging imaginative play, and by reading stories, singing nursery rhymes and encouraging book ownership. There are well-developed frameworks for early years practitioners to help young children acquire these skills43.

In England, the teaching of literacy has been hotly contested in recent years, though a ‘mixed methods’ approach is now seen through the daily literacy hour44. The debate has had implications for how adult literacy is conceived. One influential theory45 suggests that literacy needs to be seen as more than a set of skills; what people do with their basic skills, with whom, when and why, are important factors in adult literacy teaching and therefore adult learners’ needs and interests are paramount. In an international review of approaches to adult literacy learning, Street (2004) concludes that teaching and learning cannot be separated and therefore underpinning approaches to literacy are theories of learning46.

44 The ‘searchlight’ model described by the National Literacy Strategy Framework for teaching advocates the use of a range of strategies to get at the meaning of a text; however, phonics is taught explicitly and directly away from the text, with opportunities to apply phonic knowledge to real text. Department for Education and Skills (2001) The National Literacy Strategy: Framework for teaching, London: Department for Education and Skills.
5.1 Learning theory

Numerous studies have investigated the role of motivation; the motivation to read is particularly important since the amount children read for enjoyment is a major contributor to their reading achievement\textsuperscript{47}. Indeed, a major international study\textsuperscript{48} showed that reading enjoyment is more important for children’s success than their family’s socio-economic status. However, the PIRLS study reported that despite their overall skill in reading, English primary children tended to be less keen to read and less confident about their ability to read than children in many other countries\textsuperscript{49}.

While motivation to learn is therefore clearly important, also relevant in the context of social inclusion is what encourages persistence of behaviour\textsuperscript{50} in the light of problems encountered, and whether there is an expectation of success\textsuperscript{51}. Changing the deeply-held negative attitudes and beliefs individuals may have about themselves as learners presents a huge challenge\textsuperscript{52}. When difficulties arise, learners need to believe that their own efforts will make a difference to what they can achieve. The issue of formal and informal learning processes, relevant to the above challenges, is an area that has attracted a lot of interest in recent years.

5.2 Formal and informal learning

Theories around adults’ and young people’s learning have focused on learning as a process. Rogers\textsuperscript{53} identified two different approaches; acquisition learning, which goes on all the time and relates to everyday life, and formalised learning, which involves a consciousness of learning to enhance what is actually learned.

\textsuperscript{51} V. Vroom (1964) Work and motivation, New York: Wiley.
\textsuperscript{52} L. Festinger (1957) A theory of cognitive dissonance, Evanston, IL: Row, Peterson.
These terms have been further described as formal learning, non-formal learning and informal learning\textsuperscript{54}. Formal learning is usually provided by an education institution, and has structured learning objectives, leading to a certification. Non-formal learning is still structured, but can be adapted to suit individual circumstances. Informal learning is unorganised, can be moulded by the needs of participants who can join and leave whenever they want to. These categories can overlap. It has been suggested that the boundaries or relationships between informal, non-formal and formal learning can only be understood within various contexts.

Studies by NIACE show that informal learning in the community is a trigger for change and development\textsuperscript{55}. A 2004 review on non-formal learning\textsuperscript{56} suggests that it is the blending of formal and informal approaches that is significant, not their separation. One of the areas examined was youth mentoring. Longitudinal studies on the transitions to adulthood of at-risk adolescents in poor communities revealed that informal mentors, sought out by the young people themselves from among their own families and communities, appear to be a key protective factor for successful transitions. This is sometimes called natural mentoring. Detached youth work was seen as informal mentoring since the aim was to develop relationships with young people, giving them the power to choose whether to engage, and to define their own needs. More recently, there has been a move to more formalised youth mentoring schemes to re-engage young people in education, employment and training, for example, through Excellence in Cities learning mentors, called engagement mentoring. The study concluded that there are few, if any, learning situations where either formal or informal elements are not present, and therefore it may be more fruitful to look for ways in which they inter-relate.

5.3 Implications of learning theory on literacy learning

The central focus of the major national strategies to improve children’s literacy and adults’ basic skills is the teaching framework. These frameworks have undoubtedly contributed to more focused and skilled literacy teaching. However, where disadvantaged young people and adults are concerned, more attention needs to be given to how they learn (especially the learning resistant), their motivation and


disposition to do so, and the necessary conditions that promote persistence for the achievement of their learning goals.

An understanding of the learning process will also help those who are not professional educators – parents and carers, other family members, local and national agencies – to see the value of their own role in helping those most in need to become enthusiastic learners. Educators should consider too how they might harness the support of the home and the wider community to address barriers to literacy learning. The systems of influence on literacy learners are described in the next section.
6. Influences on literacy learners

There are many systems of influence on literacy learners of all ages, some of which are illustrated in the diagram (6.1) overleaf. These influences may be positive or negative, either increasing confidence or instilling, or perhaps reinforcing, negative attitudes to learning. The key influences are shown with their different component parts, which may also link to more than one key influence. The strength of any one influence will vary according to the learner’s circumstances and will depend too on the age of the literacy learner. For example, young people may be more influenced by their peers rather than their parents (though not necessarily so). Friends, or a mother and toddler drop-in centre, may be more influential among young parents than the school. It is suggested that the potential influence of learning institutions to support those most at risk, is greater where there is an understanding, and the capacity, to work in partnership with parents, outside agencies and voluntary and community groups.

The importance of social networks to motivate and maintain interest in learning is a recurring theme in the findings of the Literacy and Social Inclusion Project. The personal qualities and resilience of the individual, formed to a great extent by early childhood experiences, will impact on that individual’s motivation and determination to learn, whatever the odds. Feinstein and Bynner⁵⁷ argue that ‘capitals’ (assets or resources) are influenced by personal attributes (‘capabilities’); children form their own capabilities as they develop, and these are highly dependent on the capital passed on by their parents. ‘Identity capital’ means a person’s concept of themselves while ‘human capital’ includes their qualifications, knowledge and skills. ‘Social capital’ includes an individual’s support structures in terms of friendships, social networks and civic participation. An individual’s health, and family factors, are also influential.

The capitals/capabilities theory is a helpful analysis tool for explaining why social networks can contribute to the learning dispositions of individuals with low confidence and skills, and weak social capital. Creating opportunities for people from different communities to connect, meet and discuss issues and concerns has long been recognised by Government as a way of promoting social cohesion and race equality⁵⁸. Educational and social interventions aimed at addressing social exclusion may lead to changes in individual self-concept, increased well-being and more developed social networks. However, for those with poor literacy, providing a literacy skills dimension to the intervention, it is suggested, will add further value by increasing their

---


6.1 Influences on literacy learners

- **Parental interest and encouragement**
  - Parental basic skills
  - Parental support inc. extended schools
- **Early language experiences**
- **Story and rhyme experiences**
- **Books in the home**
- **Library visits**

- **Teacher attitudes and expectations**
- **Quality of teacher supply**
- **Literacy learning needs identified**
- **School support in place**
- **Out-of-school support**
- **Home-school support**

- **Parental basic skills**
- **Parental support** inc. extended schools

- **Resilience**
- **Motivation**
- **Self image**

- **Friends’/peers’ attitudes**
- **Families and social groups**
- **Pop, TV & sports stars**

- **Attitudes and literacy awareness**
- **Family support**
- **Justice system**
- **Housing agencies**

- **Children’s homes/ foster care**
- **Social services**
- **Health agencies**
- **Children’s centres**
- **IAG; Connexions**

- **Arts, museums and libraries**
- **Voluntary and community organisations**
- **Faith groups**

- **Children’s homes/ foster care**
- **Social services**
- **Health agencies**
- **Children’s centres**
- **IAG; Connexions**

- **Attitudes and literacy awareness**
- **Family support**
- **Justice system**
- **Housing agencies**

- **Attitudes/support of employers**
- **Workforce development opportunities**
- **Support of trades unions**

- **Attitudes and literacy awareness**
- **Family support**
- **Justice system**
- **Housing agencies**

- **Attitude to working in partnership**
- **LAs; colleges; VCS; learrndirect; libraries**
- **LSCs; LSPs; other funders**

- **Regional and local government**
- **Voluntary and community sector**
- **Children’s homes/ foster care**
- **Social services**
- **Health agencies**
- **Children’s centres**
- **IAG; Connexions**

- **Joining up provision**

- **Literacy learners**

- **Community influences**
- **Influence of learning providers and funders**
- **Influence of official agencies**
- **Personal qualities**
- **Home experiences**
- **School experiences**
- **Work experiences**

- **Abbreviations**
  - IAG: Information, Advice and Guidance
  - LA: Local Authority
  - LSC: Learning and Skills Council
  - LSP: Local Strategic Partnership
  - VCS: Voluntary and community sector

- **Government departments**
- **Funding**

- **Influence of learning providers and funders**
- **Influence of official agencies**
- **Community influences**
- **Personal qualities**
- **Home experiences**
- **School experiences**
- **Work experiences**

- **Abbreviations**
  - IAG: Information, Advice and Guidance
  - LA: Local Authority
  - LSC: Learning and Skills Council
  - LSP: Local Strategic Partnership
  - VCS: Voluntary and community sector
human capital. For literacy interventions to be effective among at-risk individuals, consideration needs to be given to other, in some cases critical, factors, such as strengthening learners’ self-esteem and their social networks, as well as improving their skills and qualifications.
7. Successful literacy approaches among those at risk

Introduction

The evidence presented so far has highlighted the importance of motivation and the need to change an individual’s perception of himself or herself as a learner, a process that can be supported by personal, work or social networks. This section provides the evidence for successful home and community approaches that engage, motivate and improve the literacy and language skills of those of all ages at risk. In an age focused on targets and quantification, numbers of qualifications achieved provide a recognised and tangible measurement of ‘success’. Indeed, qualifications may play an important motivating role, provide public recognition of attaining a national standard and a ‘good value’ measure in relation to public expenditure. However, it is also important to understand the very important ‘soft’ indicators of progress that measure milestones along the way: reduced disaffection, enhanced self-esteem and confidence, increased motivation, and greater engagement in literacy activity in the long term for individuals and their families.

The evidence is examined in relation to the five areas of investigation in the Literacy and Social Inclusion Project:

- Promoting early language and reading skills
- Building parents’ skills
- Out-of-school-hours literacy support
- Motivating disaffected young people
- Improving the skills of adults at risk

Each section reviews the research evidence, practitioner feedback from our 2003 and 2004 consultations and data received via project activity forms. Illustrative case studies are provided which demonstrate different approaches to partnerships, use of funding streams, types of activities, and outcomes. More than 80 practice examples across the five areas of investigation can be seen at www.literacytrust.org.uk/socialinclusion.

The evidence is presented through four thematic sections:

a) Engaging individuals and building relationships
b) Meeting needs and interests
c) Providing book and reading experiences
d) Working in partnership and using intermediaries

Inevitably there is some overlap between the themes.
7.1 Promoting early language and reading skills

Introduction

There is strong evidence from the United States that pre-school language and literacy experiences are strong predictors of early language and literacy growth, and that the strongest predictor of children’s early literacy development is the support for literacy in the home\textsuperscript{59}.

This is backed up by the Effective Provision of Pre-School Education (EPPE) study in England, a long-term research project looking at the impact of pre-school education\textsuperscript{60}. It has confirmed that disadvantaged children, in particular, benefit significantly from good quality pre-school experiences. It also confirmed the importance for all children of a high-quality home learning environment: that is, where parents are actively engaged in activities with children and encouraging their intellectual and social development. Such an environment could be viewed as a ‘protective’ factor in reducing incidence of special educational needs. In terms of child outcomes, such practices are more important than parents’ social class and their levels of education. We can infer that positive support to help parents who are less confident and knowledgeable about how to help their young children acquire early language and reading skills will contribute to improved child outcomes at school. This is the reason the National Literacy Trust runs the Talk To Your Baby campaign to encourage parents and carers to talk more to children from birth to three\textsuperscript{61}.

There is other evidence that confirms the need to encourage and support at-risk parents to talk and listen to their children. Research\textsuperscript{62} carried out by the Thomas Coram Research Unit at the University of London to evaluate the Wilstaar early identification test showed that at-risk infants did not have noisier home environments, or spend more time exposed to television. Rather than an excess of noise, there was a dearth of social interaction.


\textsuperscript{61} See www.talktoyourbaby.org.uk.

a) Engaging individuals and building relationships

Importance of home visits
Research shows that home visiting, reinforcing positive behaviours, can be a very effective mechanism for offering support to parents in the familiar surroundings of their own home. This has been proven to be an effective approach for families at risk. A DfES review, using evidence from the United States, showed there were measurable reductions in offending among participating children compared with similar children whose families did not receive home and educational support\(^63\). Home visiting and modelling are built into UK research-led early intervention programmes such as the REAL programme and the ORIM\(^64\) framework, and PEEP (see page 30), providing support for early language and literacy activities and encouraging parents to step outside the home and join in local group activities. Several visits may be needed before this is achieved.

Case study: Thurrock Community Mothers
The Thurrock Community Mothers Skilled for Health Project enables isolated and vulnerable parents of young children to access learning provision, or one-to-one basic skills support in their own homes. Health visitors, nursery and school nurses, community development workers, and community mother and breast-feeding supporter volunteers receive basic skills awareness training and make referrals. Community mothers train as tutors who provide informal, health-focused learning support in the home, sometimes using laptop computers. Innovative cartoon materials have been used to open up discussion with parents about basic skills needs. Once parents have gained sufficient confidence, they are encouraged to access mainstream learning provision. The project operates in partnership with Thurrock Adult Community College, is run by Thurrock Primary Care NHS Trust (PCT), and is funded by the PCT, Sure Start and the European Social Fund.

Information provided by project

Building parental confidence
Sure Start has been run through local programmes in the most deprived regions of the country and aims to achieve better outcomes for children, parents and communities

---


\(^{64}\) The ORIM framework was developed by Sheffield University’s REAL Project. ORIM stands for Opportunities for learning, showing Recognition of the child’s activities, Interaction with the child on literacy activities, and providing a Model of a literacy user. See www.shef.ac.uk/education/research/RTPHannandNut.shtml.
by improving children’s health, education and emotional development, and supporting parents in their role and in developing their employment aspirations. Children’s early language development is promoted heavily by Sure Start65. There are early indications that mothers in Sure Start local programmes are more likely to treat their children in a warm and accepting manner, which is consistent with the Sure Start principles that it is first necessary to influence parenting and family behaviour66.

“After I went home [from hospital] and spoke, my baby turned towards me. I felt really important and loved, special.”
Mother taking part in a study aiming to establish good parent-baby communication

b) Meeting needs and interests

Modelling good early communication
Actually showing parents (modelling) ways of communicating with their children is more effective than simply providing information, and is the basis for successful early years practice. Parents – mothers and fathers – would benefit from knowing how to tell their children nursery rhymes and stories, including those that reflect their own cultural and social history. Getting parents and very young children to make books together is also valuable. Sure Start has pioneered a number of approaches that emphasise the importance of partnerships with parents, including Communicating Matters, which provides training and materials for those working with young children aged from three to five. It is important to build on what parents already do at home and encourage them to do more. Some parents find it difficult to articulate their views with confidence outside their immediate circle of friends and family.

Case study: PEEP
The Peers Early Education Partnership (PEEP) runs weekly group sessions in areas of disadvantage for families with very young children. Session leaders model different ways of sharing books with children, songs and rhymes are taught, and the contribution of everyday talk to children’s development is emphasised. Evaluation showed that children in the PEEP group made significantly greater progress in their learning than those in the comparison group, in the areas of vocabulary, language comprehension, understanding about books and print, and number concepts. In addition, the PEEP children had higher self-esteem in their feelings about their cognitive and physical competence.


65 Sure Start/Manchester Metropolitan University (2002).
An intervention project, Talking Time, trained nursery staff in the language skills to be targeted and how to run specific activities providing opportunities for language development. Evaluation showed that following the intervention, participating children improved in both their verbal and non-verbal abilities by more than would have been expected in the course of normal development, and more than a control group of children. The research identified a need for staff training in oral language development and support, for concrete guidance and the need for these principles to be extended into Reception and key stage 1.

“I was in town the other day just talking to him in his pushchair while we walked along. Everyone was looking at me funny but I don’t care ... it makes a difference to him. I might sing this week; see what they think of that.”

Parent after attending a Language and Play project

Building parental confidence through new media
Connecting learning to what is already known and experienced is recognised as good practice by teachers. What we do know is that very young children are familiar with, and become literate across, a range of media that may include moving images and texts in a variety of forms. This includes television, film and computer games and children respond to these sources by talking, role-playing, dancing and singing. Their parents are generally happy to encourage and join in with this play, perhaps because they feel comfortable with television narratives, more so perhaps than traditional picture books found in nurseries. This may be a good ‘way in’ to talking to less confident parents about language and literacy.

Providing a link to literacy learning
A small-scale evaluation of a Skills for Families project, part of a Skills for Life regional development programme that tested new models for delivering family literacy and wider family learning, found that ICT had great potential in attracting new learners and providing access to other facilities in the community (for example, libraries, museums and learning centres). The project also offered strong learning outcomes, in terms of both the parents’ own learning and their ability to support their children.

---

c) Providing book experiences

Book ownership
Bookstart is a national book giving scheme for babies. At the child’s nine-month health check, parents are given a Bookstart pack by the health visitor, which includes a free board book for their baby, reading advice and recommendations from Booktrust, as well as information on how to join the library and, in many cases, an invitation to join. Informal library events, such as rhyme time or story time, can also be offered. The scheme has been evaluated through longitudinal, but small-scale studies. The final stage of the evaluation compared the key stage 1 performance of Birmingham pupils who had been given Bookstart packs at nine months with that of a matched control group. While the sample size was small, the Bookstart children performed significantly better, showing that their earlier advantage when starting school remained as their primary education continues. Earlier studies showed that Bookstart families credit the programme with encouraging them to read to their baby more and feeling more confident about how to do so.

Qualitative research evidence highlighted the important role of library and nursery staff in modelling how to share books with children, and the confidence and communication skills this brought to parents and other members of the family. Where Bookstart activities are linked to multi-agency approaches to reach those parents who are socially isolated, through initiatives such as Sure Start, there are greater opportunities to build relationships of trust between staff and less confident parents. In July 2004, the Chancellor announced the extension of the Bookstart scheme to provide free books at nine months, 18 months and age three for every child.

Case study: The Sunshine Library, Wakefield
The Sure Start-funded Sunshine Library was purpose-built to support early language development and book enjoyment among families living in a deprived community. It was developed by Sure Start, the library service and Lupset Community Centre Association, with some funding from the Coalfield Regeneration Trust. A nursery is next door, and children visiting the library are encouraged to talk and listen through features in the nursery garden such as ‘listening’ flowers with holes to speak through. The library holds short courses run by the Workers’ Educational Association offering family craft activities and storytelling, and upstairs is an adult training centre. A Bookstart Plus coordinator visits families at home and encourages them to go along to the library with her, while another Bookstart worker runs book and literacy sessions with parents. With a ‘satellite’ library at the Asian Women’s Centre, Asian mothers are encouraged to use the library and

Shared Beginnings® is a programme that helps parents to take an active role in developing their children’s early language and literacy through conversation, play, making and using books, and using local community resources. A small-scale evaluation\(^2\) found evidence that the programme was helping children and their parents to engage with books and language activities, and also fostering parents’ interest in further learning. A visit to the library is built into the programme to encourage parents to use this local resource. Funding from the Chartered Institute of Housing, and partnership with housing providers, has led to courses being set up on housing estates in areas of deprivation. Parents in Newport reported that a Shared Beginnings course there had led to increased enjoyment in their child’s development and increased confidence in setting routines, for example, combining nursery rhymes with teeth brushing. The course also had the benefit of introducing participants from the same community to one another.

**d) Working in partnership**

This section has highlighted several examples of partnership working to promote early language and reading approaches. These partners are early years practitioners, library services, family learning and basic skills professionals, the voluntary and community sector, and health and housing organisations.

**Summary**

The strongest predictor of children’s early literacy development is support for literacy in the home. Home visiting provides an opportunity to get to know at-risk parents and offer some first-step help around early language and literacy in the context of their own environment. Actually showing at-risk parents (modelling) ways of communicating with their children is more effective than simply providing information, building on what parents are already doing at home and encouraging them to do more. They can then be encouraged to step outside the home and join in local group activities, although this may take time. As very young children and parents will be very familiar with many television programmes and characters,

\(^2\) P. Hannon and K. Hirst (2002) *Report of an evaluation of Shared Beginnings®* (unpublished). This programme was originally developed by Reading Is Fundamental, Inc© and adapted by Reading Is Fundamental, UK in collaboration with the Newcastle Literacy Trust.
popular culture may be a good way in to talking about language and literacy. There is some evidence that giving very young children books – with opportunities for them and their parents to join the library and take part in literacy-related activities – has a positive effect on their performance on starting school.
7.2 Building parents’ skills

Introduction

Parental support for language and reading in the early years needs to continue as children grow. Consideration should also be given to how parents’ own literacy learning needs can be supported. A model for building parental skills is provided on page 73, illustrating the process of developing partnerships, the engagement of parents in literacy skills learning, the outcomes for parents and children, and the resulting benefits for schools, communities and employers.

A recent research review on the influences on pupil achievement highlighted the importance of at-home parenting as a significant factor, greater even than social class or parents’ level of education. Good at-home parenting means talking through how children are doing at school, introducing them to the library, encouraging high aspirations and encouraging them to continue in education after compulsory school is finished73.

Parental attitudes to reading are important; research suggests that children who know adults who read for pleasure are more likely to take it for granted that reading is a valuable and worthwhile activity74. Also, children whose home experiences promote the view that reading is a source of entertainment are likely to become intrinsically motivated to read75.

A recent review on family learning76 proposes that all families should have access to local facilities for formal and informal learning, linked coherently to wider services and learning provision.

a) Engaging parents and building relationships

There is evidence about ‘what works’ to change at-risk parents’ behaviour around parenting. This includes demonstrating or modelling new approaches with opportunities for parents to try out and get feedback; recognising that family

---

75 Clark (forthcoming 2005).
relationships play a part; and programmes that include sanctions for negative behaviour and strategies for positive behaviour through play and praise. Sutton and colleagues\(^7\) quote evidence on US Head Start programmes, set up to help communities meet the needs of disadvantaged pre-school children. They identify success factors such as providing transport, meals, childcare and accessible locations; encouraging every parent’s participation by advertising it as a universal programme; and targeting and encouraging high-risk families through home visits, including visits by parents who have previously participated. A collaborative model is adopted that assumes that course leaders and parents both have expertise, and that encourages parents to help each other and develop support networks outside the group, including from other family members\(^8\). The same principles can be applied in engaging families at risk to support their children’s developing literacy skills, but learning needs to be fun\(^9\).

**Crafts, arts and drama**

An evaluation\(^8\) of one of the Skills for Families programmes found that activities that focused on creativity and oracy, and supported home-school links, were particularly helpful in supporting parents with little or no experience of formal learning. Parents thought of the sessions as a non-threatening environment and talked about what they brought to courses, such as books made at home. Practitioners’ experiences suggest that arts activities may also bring benefits in terms of building parents’ confidence as they see what they can create. Encouraging parents to take part in activities with their children helps develop speaking and listening skills.

---


\(^9\) Evaluation of the Derbyshire community literacy initiative Read On – Write Away!, which makes a large contribution to regeneration and social inclusion programmes in the region, found its ethos was perceived as ‘fun’ and ‘jolly’, a deliberate strategy in targeting those who have had bad prior experiences of learning: P. Davies with V. Bird, M. Hamilton, P. Hannon and C. Taylor (2002) *Read On – Write Away! An evaluation report*, Matlock: Read On – Write Away!.

\(^8\) K. Pahl (2004) *All these little umbrellas under one giant canopy: An evaluation of Family Literacy, Language and Numeracy in Read On – Write Away!*, Matlock: Read On – Write Away!.

---

**Case Study: Inspire Aspire**

Inspire Aspire was a project to extend the creative family learning work of the Partnership Education Service (PES), part of Rochdale LEA. It enabled 10 women of Asian heritage to develop new artistic and literacy skills through a residential week based at the Fundació Pilar i Joan Miró art and education centre in Mallorca. Before the trip the group attended 10 weeks of classes where they learnt to use the internet for research, which together with the diaries and reports they wrote improved their use of English. Once in Mallorca they used sketch pads and cameras to record the natural beauty
and signs of Islamic influence on architecture, and then worked together, with the support of a community artist, to use their work to produce silk screen prints, which were later exhibited at a Rochdale gallery. Following the trip two of the women became parent governors – a role to which none of the group had previously aspired, seven took up new courses and four gained jobs; some have also joined the management group of a new Community Arts Learning Centre. Staff assess that these outcomes are due to increased confidence and self-esteem, which has also benefited their children. The women contributed to the cost of the trip, subsidised by various grants and support.


“I really enjoyed working in a group, helping each other ... all different, sharing opinions, talking about what we were doing. We were doing art!”
Participant

Drama was the underpinning approach of the Fathers Inside and Family Man courses run in prisons by the charity Safe Ground, and was regarded by participants as valuable because it was accessible to all, regardless of literacy level, and was an effective communication tool. Tutors in the pilot project described drama as a ‘refreshing’ alternative approach and a powerful medium for teaching family relationship skills. Evaluation suggested that the courses may impact positively on offending behaviour, as prisoners were encouraged to consider how their actions affected others. The team-based approach was also seen as a positive feature, and the final presentation as a valuable experience that uplifted both teachers and participants.

Reaching fathers
Most family learning programmes involve mothers, yet fathers play a key role in their children’s achievement. Analysis of National Child Development Study data showed that father involvement at age seven and mother involvement at age seven significantly and independently predict higher educational achievement by age 20 and this applies to both girls and boys. An involved father is defined as one who reads to his child, takes outings with his child, is interested in his child’s education and takes a role equal to the mother’s in managing his child. There is little empirical evidence on the effect of fathers’ involvement on children’s literacy outcomes directly,

with very little quantitative evidence of the extent to which fathers engage with their children in literacy-related activities\textsuperscript{83}.

Research has highlighted the relative absence of men in family learning programmes and, when they do join, they are likely to drop out of school-based daytime programmes where mothers are in the majority\textsuperscript{84}.

Barriers to fathers’ involvement in Sure Start programmes include operating at times that clash with work hours, traditional attitudes towards childcare and responsibilities, and fathers’ lack of awareness of the programme. Fathers were more likely to get involved where there was a strategy to involve them and the presence of dedicated staff members, including male staff, to encourage fathers’ involvement\textsuperscript{85}.

\begin{quote}
\textbf{Case study: Bolton Literacy Trust and dads}

The Bolton Literacy Trust is a charity working with others to raise literacy and numeracy standards and engage new learners in innovative ways. Through a project called ‘Catching the Learning Buzz’, funded by the Learning and Skills Council, it has provided sports equipment for a Somali centre with the aim of engaging dads and teenagers. It has also set up an internet café, which aims to attract families and has several grandfathers coming along to learn IT skills and send emails to relatives. With the charity Saturday Fathers, another café is being set up in an access centre for dads and children who see each other only at weekends, so that the dads can help their children with homework and improve their own IT skills. There will also be a ‘Care to Read’ resource area equipped with comfortable seating and books, including books especially chosen to appeal to reluctant male readers.

\textit{Information provided by project}
\end{quote}

To conclude, involving fathers – or any significant male carer – in literacy activities may provide important role models for at-risk boys and there are examples of where fathers have been successfully engaged in family learning programmes. However, providers need to consider how father-friendly their organisations are. Fathers need to be specifically targeted, taking account of the barriers identified above, if they are to benefit from family learning events and programmes.


b) Meeting needs and interests

Having fun through family learning
If parents and children enjoy the learning process, and have the satisfaction of participating in activities that interest them, they are more likely to feel positive about the process. Finding out what kinds of activities are right for participants is crucial – for some people football would be ‘fun’, for others, sewing might be. The interests of children are powerful incentives to engage parents with literacy needs in learning. Encouragement and helping parents to feel confident in the activity are also important factors to consider. Parents with low levels of confidence, or with the greatest learning needs, are more likely to take part in practical activities that do not involve any reading or writing, for example, Storysacks (see page 41), crafts or gardening projects. Family learning may contribute to social relationships and the development of friendships. It also offers opportunities for achieving a number of cross-cutting policy objectives including improving child development, extended schools and raising school standards.

“... if I turn out to be clever enough”
“... if everything goes to plan and it rarely ever does in my life”
Learners talking about their hopes of reaching their goals

A literacy focus to parenting programmes
A parenting support programme that has clear literacy aims and focus can have positive literacy outcomes for both children and parents.

A study has looked at how the PEEP programme supported parents as adult learners. The study found that there were benefits for parents who participated in a PEEP group for five or more sessions, which included support through the ‘Learning Bridge’ initiative, offering links to opportunities in education and employment. Parents reported that the social support offered by PEEP was an important factor in their decision to extend their employment-related skills and to enhance their parenting techniques. They also showed significantly greater awareness of their child’s literacy development and of ways to foster it, such as through modelling techniques and looking for words and texts in their daily lives. More parents who participated in PEEP went on to take basic skills courses than the control group.

When front-line staff receive literacy awareness training, they become more confident in their ability to support parents with poor literacy. An external evaluation of the Step in to Learning programme\(^90\), which trained nearly 2,000 members of staff from neighbourhood nurseries, found that 95% felt they were better able to identify parents and carers with literacy and language needs and 80% reported that they referred them to literacy provision. Parents were also willing to ask nursery staff for help around these issues, with 92% saying they would feel happy to do so.

**Family literacy interventions**

Most family literacy practitioners aim to impart short-term skills, but also long-term changes in families, relating, for example, to support for children’s school education, improvements of adults’ basic skills, employability and attitudes to lifelong learning\(^91\). The Basic Skills Agency (the Adult Basic Skills Unit at the time) instituted family literacy demonstration programmes and subsequently developed other programmes such as Early Start and Keeping Up with the Children.

Evaluation of the family literacy demonstration programmes by the National Foundation for Educational Research\(^92\) reported positive changes in attitudes and literacy practices on the part of participating parents, which were maintained when they were re-interviewed three and six months after their courses and, for some of them, two and a half to three years later. These programmes were located in areas of multiple deprivation targeting parents with very low literacy skills. The follow-up research showed that family literacy children were holding their own, and their educational prospects were better than they would have been without the programme.

One of the strongest findings that emerged from this study was the huge boost to parents’ confidence as a result of the courses; this was also a key factor in the process of achieving quantitative gains, for both parents and children. Some parents would not have stayed without the peer cooperation that they discovered; they found that the feeling of “we’re all in it together” was helpful. It was also noted that programmes avoided over-concentration on reading, and genuinely focused also on talking and writing. The coordinators emphasised the value to parents of being able to move straight from a separate session where they learnt an activity to do with their children, to a joint session where they could try it out, and, if appropriate, have the parent’s role

---


modelled by a teacher. This immediate practice gave rise to an evident delight in the parents at being able to help their children.

There is strong evidence from outside the UK that the Pause, Prompt and Praise programme can be very effective at supporting parents with their children’s literacy\textsuperscript{93}. In this simple approach, parents are taught to help children who may have fallen behind with their reading by helping them decode text by looking for clues and praising their success in a way that particularly relates to their reading behaviour. A small-scale intervention study funded by the Department of Health – Spokes (Supporting Parents on Kids’ Education) – showed that a combined literacy and behaviour support programme was welcomed by parents and teachers. The parenting support focused on positive play, and planned ignoring of unwanted behaviour. Information and knowledge was provided, using the Pause, Prompt and Praise approach, to help parents become more confident in supporting their children’s literacy development in the home\textsuperscript{94}.

**Case study: Share**

The Share programme was developed by the Community Education Development Centre (now ContinYou) for parents and their school-age children to practise their literacy skills together. It can be used up to key stage 3. It has been adapted by the Bolton Literacy Trust for use with younger children in a number of primary schools and Sure Start centres in Bolton. Sessions are based around a fun learning activity that parents can use or adapt with their children at home, such as making activity books, matching and sorting activities, letter, number, colour and shape recognition. Parents keep a folder of activities which can go towards accreditation for an Open College Playwork certificate. A Share Plus parenting skills programme also exists, and the Bolton Literacy Trust has trained other voluntary organisations such as NCH and YMCA to run these courses.

*Information provided by the Bolton Literacy Trust*

\textbf{c) Providing book and reading experiences}

**Storysacks**

Running a Storysacks event can engage at-risk parents, through the practical experience and fun of making Storysack props, and the sack itself, and in the process introducing parents to the pleasure of book-related activities with their children. A Storysack is a cloth bag containing a book and supporting materials, such as soft toys


of the book’s main characters, props and scenery. Even if a parent’s reading skills are limited, these props can be used with children to bring a book to life. The Storysack may also contain a non-fiction book on the same theme, an audio-tape of the story or a language-based game. A short guide suggests questions for parents to ask and other ways to extend the reading activity.

"There were not many books at home when I was a kid, but we’ve got lots of books in the house now."

Parent after taking part in Read On – Write Away! project

d) Working in partnership

Early years organisations and primary schools are important partners in the process of building parents’ literacy skills while providing, at the same time, appropriate support to their needs as parents. However, the evidence on supporting parents when their children get to secondary school is less conclusive. Evidence from practitioners suggests that IT courses and courses on money management can be successful ‘hooks’ to involve parents at the secondary phase. There are early signs, from the work of Reading Is Fundamental, UK, that transition from the primary to secondary school is a fruitful time to remind parents of the need to encourage children’s leisure reading.

Community arts, sports clubs and centres, museums, art galleries and libraries can all provide additional interest and focus to literacy activities with parents. The role of professionals from these organisations, and other intermediaries, is explored further in the adults section (page 60).

Summary

Good at-home parenting is a significant factor in pupil achievement. Primary schools that were successful in developing parental support for reading focused on specific initiatives that involved parents actively reading with their children. Engaging at-risk parents with low literacy skills and confidence, through arts, crafts or drama activities provides a link to later learning; group work provides opportunities to develop relationships and build individual support networks. Parents will then be more likely to sign up for more formal literacy programmes. A parenting programme that has clear literacy aims and focus, delivered by trained family literacy tutors, is more likely to have positive literacy outcomes for children and parents. Introducing at-risk parents and children to the local library encourages them to see libraries as friendly places that provide free access to books, and other resources. Getting fathers involved in doing literacy activities may provide important role models for at-risk boys.
However, a deliberate strategy is needed to involve fathers in family learning programmes – taking account of their needs and interest – since family learning mostly attracts mothers.
7.3 Out-of-school-hours support

Introduction

The US Harvard Home-School Study has interviewed children since the age of three (they are now 16) and showed that the greatest predictor of their literacy development was support for literacy in the home; the areas of greatest impact were sharing books, extended talk at mealtimes and opportunities to chat beyond the here and now. Material poverty means fewer learning resources like reference books and computers in the home. Schools in disadvantaged areas therefore need to consider the added importance of libraries and study support provision like homework clubs in bridging this gap.

a) Engaging children and building relationships

Buddy readers and others can provide important role models to engage children in reading and literacy activities (see page 49). At-risk children sometimes need ‘safe spaces’ before they can focus on learning. Consideration should be given to how this can be provided, along with adults prepared to listen to children’s concerns (real or imaginary) to help break down emotional barriers to learning.

Case Study: A Quiet Place

A Quiet Place is a room in a school set aside for children under stress, to develop their emotional literacy and prevent the risk of exclusion and unacceptable behaviour. The room might contain soft furnishings, tent-like areas, water and sand; it forms part of an holistic programme including storytelling, art and music workshops. Children are given one-to-one attention and taught how to manage their stress, improving their confidence and communication skills and making them better able to settle in class. The University of Liverpool has evaluated A Quiet Place and shown that it has had a positive impact on behaviour. Funding comes from various sources.

Information provided by project

---

95 Roach and Snow (2000).
b) Meeting needs and interests

Linking literacy learning to popular culture
Children (and adults) use literacy in different ways in their daily lives. Drawing exclusively on book-related literacy runs the risk of excluding children who are not familiar or comfortable with books. Oral communication, emails, drawing, drama and other art forms can play important roles in supporting literacy⁹⁷. Children with poor speaking and listening skills need as many opportunities as possible, outside and within the classroom, to improve these skills. New written forms of communication reflect speech more closely, for example, email and text messaging, which can provide a useful way in to learning other forms of written expression. Similarly, reading and writing can be more meaningful to children when linked to their everyday experiences in the home, and popular culture can be a good starting point. Building on their interest in and knowledge of, for example, television programmes, videos or computer games, can help develop children’s language skills as they talk about the plot or describe popular characters. This can motivate children to write outside school. It is important to take account of pupils’ wider cultural knowledge and experiences, including their home culture, to support and extend their school-based literacy work.

“With the recipe, there were a couple of boys who wouldn’t normally have a go at writing. If I’d have asked [child] to come and write, he wouldn’t have done it. It’s because it had the Teletubbies on top.”
Nursery headteacher talking about an activity in which children made Tubby custard and then wrote down ‘recipes’ on special paper

Evaluation of Ultralab’s Notschool.net, which functions as an online community for teenagers who, for a variety of reasons, are out of school for a long time, showed that using the new technology and the support of ‘mentors’ (teachers) and ‘buddies’ (university students), the literacy skills of the young people improved. Most of them had very low levels of literacy when they joined the project, but they made “clear learning gains”, mapped through their use of ‘stickies’ (the virtual sticky notes that enabled the young people to communicate with mentors and with each other) and in the accreditation they achieved.

This issue around new technology will be explored further in 7.4.

Involving the arts
Our own review and consultation process highlighted the importance of museums, libraries and archives in offering important contextualised learning opportunities to pupils in need. Encouraging a sensory and emotional response to artefacts can, in some cases, break down personal barriers to engagement at many levels, including skills learning.

Case study: Polly’s Story
The Ragged School Museum in east London has developed this project to support the language skills of children in Reception and key stage 1 through storytelling. Children talk about the objects they can see in a reconstructed kitchen of 100 years ago, and compare them with their modern equivalents, making links from the setting to their own lives. They join in with rhymes and actions to accompany the story of Polly, a girl who might have lived in the house, ask questions and act out household tasks. The museum also has a loan box for schools, with replica and real museum artefacts, ‘feely bags’ of objects for the children to identify by touch and a tape of rhymes. Since most local children do not speak English at home, the project helps them to learn new vocabulary in a way that captures their imaginations: one class is still talking about their visit and writing stories based on it two years later. Initial funding through the Department for Education and Skills and the London Museums Agency (now ALM London) allowed for supply teaching cover, meaning that the museum could work with teachers for a whole day at a time to develop the project – a reason that staff give for its success.

Information provided by project

A US review found support for the role of arts learning in assisting the development of critical academic skills, including basic and advanced literacy and numeracy. An evaluation of the National Theatre’s drama work in primary schools found that drama promotes children’s enjoyment in educational processes, and, aside from learning about drama as an art form, children also gained in self-confidence. Compared with a matched sample, National Theatre children learned to speak more clearly and listen more attentively, although there were no differences in their reading and writing test scores. There is appreciation therefore, though little hard research evidence, that the arts and cultural agencies provide different sorts of experiences that can motivate children and young people to learn. One researcher has specifically examined the role of the arts in relation to literacy learning of children and young people. According to Shirley Brice Heath, education and literacy researchers are

understandably focused on reading and writing through intentional instruction and learning, and few know about the studies on language acquisition or cognitive science reports on memory. She believes we should focus on where the arts and literacy meet: in other words, drawing in collaboration with writing, creative writing for production or to complement visual arts, and dramatic renderings of children’s literature and young adult publications.

**Literacy and sport**

Sport and sporting heroes, who talk enthusiastically about books and the importance of learning, can motivate children to want to practise their literacy skills. The NFER evaluation of Playing for Success showed that participation in the scheme improved the reading comprehension scores of underachieving young people at secondary school age, boys and girls equally. Key success factors were the football or sports club setting, which motivated pupils to become involved, the use of computers and the internet, opportunities for choice of study and to develop independent study skills – and the chance to improve their sports skills too.

**Case study: Premier League Reading Stars**

Premier League Reading Stars (PLRS) is one of the programmes established by the National Literacy Trust’s Reading The Game initiative. Each Premier League club partners with at least one local library, which establishes family reading groups. A group of 10 local key stage 2 children meet regularly, along with a parent or carer, to discuss the favourite reads of professional footballers. Each club has nominated a Reading Champion, who in turn nominates a favourite book; a list is then compiled of 10 adults’ books and 10 children’s books, which are delivered along with some librarian-recommended reads to each library. Each club provides plenty of incentives, including free match tickets, stadium tours, and ideally a chance to meet the Reading Champion. Internal evaluation indicates that children and adults want to read more as a result of participating in the programme. PLRS is a partnership between the Trust, the Premier League and the Football Foundation, with support from Arts Council England.

Information provided by project

---


“As for Karim [age 10] he began reading quicker and at school his teacher noticed his writing got amazingly better. As for my elder boy as he saw his little brother reading so much, he wanted to take part in it. And when I had someone to share my opinion about the books I read, that made me read more.”
Participating parent, Premier League Reading Stars

c) Providing book and reading experiences

Choosing, borrowing and owning books
Where there are few books in the home, it is important to provide opportunities for children to choose, borrow and own books. Research for a 2002 working group on library provision for children and young people\textsuperscript{102} revealed a need for children and young people to have access to a wide range of appropriate books, guidance for choosing books, opportunities to talk to peers about reading experiences and the support of an interested adult and reading role models. Electronic and interactive storybooks can be motivators to read for young children in general, and for non-motivated readers and ESOL children in particular\textsuperscript{103}.

Promotional events or competitions can motivate children to read more. Evidence from the Summer Reading Challenge – an annual event to encourage reading and library usage among children – indicated that four in five participating children believed their reading had improved as a result, 63\% read a book about people with different lives from them and 40\% had found a new favourite book.

Case study: Reading Is Fundamental, UK in women’s refuges
Reading Is Fundamental, UK (RIF), an initiative of the National Literacy Trust, has been working in partnership with women’s refuges since 1997. In one project, Perthshire Women’s Aid was able to provide 15 children and young people, aged from five months to 16 years, with their own choice of books and audiotapes. The refuge reported that older children and teenagers were enthusiastic about choosing books that reflected their personalities. The project enabled the young people to get to know one another by swapping books, as well as providing all the children with an opportunity to find pleasure and escape through reading.

Information provided by RIF, UK


Where a child does not receive home support, is perceived to be at risk or is the responsibility of the corporate parent (i.e. a professional within a local authority) consideration needs to be given to how that child will get these enriching book experiences and additional reading support. Every Child Matters\textsuperscript{104} provides a policy framework for a more joined-up approach to provide better support for looked-after children. This should mean partnership with the public library service.

**Case study: NE1 4 Reading** (that’s ‘Anyone for Reading’ in txt spk)

NE1 4 Reading encourages young people in care homes to enjoy reading for fun. It is funded by Lancashire County Council social services, in partnership with the library service, the Education of Looked after Children Team and the Youth and Community Team, and has included awareness-raising training for both librarians and social workers. Each care home is given a book collection and receives regular visits from a young people’s librarian who brings along books and magazines from local libraries, including books suitable for teenagers with low levels of literacy. Workshops with performance poets have also been held and proved particularly popular, with participants expressing themselves through creative writing and visiting a studio to record their own poetry. All of the homes have reported an increase in reading activity since the project started, library membership has gone up, and some homes have started a reading hour each day for staff and young people alike.

Information provided by project

---

**Buddy reading schemes**

A review by Brooks\textsuperscript{105} on what works for children with literacy difficulties found there were successful in-class interventions as well as those beyond the classroom, including family literacy programmes and using trained volunteers. It also reported on some earlier studies of initiatives that combined support for reading with different approaches to boost self-esteem, with some success, and concluded that work on self-esteem with reading would seem to have definite potential.

Training older students to support younger pupils’ reading is a well-used in-school strategy. FASTLANE, Kirklees, is a language, literacy and numeracy project that tackles exclusion by building links between home, school and communities in areas of disadvantage. Evaluation of its Book Buddies strand\textsuperscript{106} found that a significant


proportion of underachieving children involved in this school-based scheme (Years 1, 2 and 7) showed a substantial improvement in reading age over a three-month period. A sample of 25 of the Buddies (who were in Years 8 and 10) showed a similar improvement. Interviews with project and school staff indicated that there were social and emotional benefits for the Buddies too.

The Read On – Write Away! (ROWA!) Buddy Reading scheme in Derbyshire, in partnership with the county’s social services department, trains care leavers and young people who are out of school to work (usually but not exclusively in schools) with a younger partner who is having some reading difficulties. Internal evaluation\textsuperscript{107} found that over 30% of the young people trained as Buddies stayed with ROWA! to undertake further literacy-related activities. Over 80 young people were involved in the first two years of the project, of whom 53 gained nationally recognised accreditation, including OCN qualifications in Buddy Reading and Storysacks. ROWA! reported that with good support, many Buddies were committed, reliable and skilful in helping children who were struggling with their reading, and that the benefits to both groups were considerable; the scheme has become central to the local authority’s work in supporting the needs of care leavers. The scheme has also developed strands for young offenders and for employees, where the express purpose is to improve the basic skills of adults and young people as they help others.

d) Working in partnership

Providing book and reading experiences is key during the primary phase when a child is not getting, for whatever reason, home literacy support. Again, libraries, arts and sports organisations are useful partners, while reading buddies can be found in other parents and grandparents, learning assistants, caretakers, retired people and members of the business community.

Summary

Material poverty means fewer learning resources like books and computers in the home. Schools and libraries in disadvantaged areas need to consider how they can provide study support like homework clubs to bridge the gap. For children not familiar or comfortable with books, or those with under-developed oral language skills, popular culture and new forms of communication, such as text messaging, may be a good starting point to develop their language and literacy skills. The arts and drama help develop confidence in speaking and listening, and providing different

\textsuperscript{107} Read On – Write Away! (2000) \textit{The Buddy Reading Project '2 Years On'}, Matlock: Read On – Write Away!.
learning experiences may motivate many at-risk children and young people to learn. Similarly, out-of-school learning at sports clubs motivates children and young people to improve their literacy skills. Some at-risk children who have experienced trauma may need ‘safe spaces’ before they can focus on learning, and schools should consider how this might be provided.

Where there are few books in the home, children need opportunities to choose, borrow and own books. At-risk children can benefit from trained reading volunteers, whether these are older pupils, parents or members of the community. These ‘reading buddies’ also benefit from the experience. For this reason, consideration should be given to encouraging older at-risk pupils or students to become buddies in order to improve their self-esteem and confidence.
7.4. Motivating disaffected young people

Much of the ‘what works’ evidence to support children’s literacy will also be true for young people. However, young people with poor literacy skills are much more likely to be disengaged from learning. There are three types of young people disengaged from learning: those who are ‘out of touch’ who have practically lost contact with school; those who are ‘in touch’ but disaffected, including truants; and finally, there are low achievers, many of whom will be capable of achieving more if their interest and enthusiasm are roused\textsuperscript{108}.

The aims and aspirations of disadvantaged young people are known to be very similar to those of all young people, although they do shift with maturity, and include having a family, an interesting job, and sufficient money to support their lifestyle. However, according to a study by the Prince’s Trust, while 41\% of the disadvantaged felt they were held back by a lack of qualifications, for many, there was a lack of understanding as to how they would achieve their aims in life\textsuperscript{109}.

There is a developing evidence base around community approaches to support disaffected and disengaged young people through the Neighbourhood Support Fund (NSF) and the Young Adult Learners Partnership (YALP). Of the young people who have attended NSF projects, 68\% have moved back into education, training or work. Many young people have benefited from improvements in self-confidence, self-esteem and the development of new skills, including literacy and numeracy. Evaluation showed there is a clear and continuing demand for the services its projects provide for young people whose needs are not being met by the education service\textsuperscript{110}.

For those in the criminal justice system, there is some evidence that youth disorder and juvenile offending can be tackled through community-based after-school recreation programmes, education projects and intensive supervision and after-care of juvenile offenders. These include schemes to improve literacy and numeracy for those with very low skills\textsuperscript{111}. One-to-one support in institutions may help to meet individual needs.


a) Engaging individuals and building relationships

Informal learning approaches
Evaluation of the NSF programme, drawing on a range of sources of evidence, showed that young people respond positively to delivery of literacy and numeracy provision that is informal and unlike school, but is rather a preparation for gaining qualifications or employment\(^\text{112}\). Other success factors were also identified: involving young people in the running of the project; the relationship between the young people and skilled and caring project staff who made the young people feel respected and listened to; and a structured but flexible approach to programme activities. Measuring the distance young people travelled was a challenge; generally it was done by creating a file of work and staff observations. Progress was less marked for young people who presented more serious personal problems, for example, drug dependency or homelessness.

Focus on employment-related skills
Young offenders and disaffected young people with poor literacy and numeracy valued training when it was perceived as preparation for employment, and where they were treated as adults, for example, by being able to make a cup of tea or have the radio playing. Qualifications directly related to practical activities and which

---

could be applied to obtaining employment were highly valued, for example, studying to take the driving test\textsuperscript{113}.

\textbf{b) Meeting need and interests}

\textbf{Engaging young people in literacy learning}

YALP is a joint initiative between NIACE and the National Youth Agency that researches and develops effective approaches to learning among young adults aged 16 to 25. Recent research\textsuperscript{114} has looked specifically at how to engage and improve participation of young adults with low skills and not in education, employment or training – a key priority of the Skills for Life strategy. It found that most projects were located within community settings working across the spectrum of formal and informal approaches to learning. Hooks into learning included building on learners’ interests using a wide range of materials, for example, newsletters, video projects, information about budgeting and poetry, with specific literacy, language or numeracy teaching embedded or built into delivery, rather than bolted on.

\textit{"We do activities such as football. This involves diet, health, timekeeping, communication, taking instructions from a coach, literacy – doing a match report and a magazine."}

Project worker, Neighbourhood Support Fund

The project identified some issues. Young people are aware that learning can be a passport to employment, but are less willing to do the work involved, particularly portfolio work. Accreditation needs to be carefully considered because while it motivates some young people, for others it represents a barrier. The development of speaking and listening skills seems to be largely overlooked, although many practitioners referred to improving communication skills as a soft outcome for their work. Also, there was strong feeling that practitioners initially trained as youth workers are the ‘right’ people to work with young adults, but there was a reluctance among youth workers to take up basic skills training, as they saw youth work and teaching as two distinct vocations.


Hurry and colleagues\textsuperscript{115} recommend that basic skills teaching and materials match learners’ interests and their own objectives and make them feel more positive about learning. It is important to recognise differences in levels of ability and learning style. Staff training is vital, as even high-quality materials such as those from Skills for Life and the PLUS programme are not always used as intended. Embedding basic skills in a practical and/or vocational context will tend to be more appealing than a formal curriculum.

\textbf{Case study: The PLUS programme}

PLUS is the Youth Justice Board’s strategy to target those within the Youth Justice System who have poor literacy and numeracy skills, re-engage them in education and enable them to break the cycle of re-offending by giving them the skills they need for the future. PLUS aims to provide a flexible approach to learning and a range of materials, including a computer-based initial assessment tool, traditional worksheets for individual or group study, interactive CDRoms based around mobile phone technology and buying a scooter, and a wide range of ‘enrichment materials’ focusing on projects to engage the interest of learners. These have included building a pond and forming a virtual band. PLUS also provides training and resources for teachers, including a guide to accreditation that demonstrates how PLUS resources map across to mainstream accreditation routes.

\textit{Information provided by project}

\textbf{Working with new technology}

Using popular culture and media have already been highlighted as possible motivators for children’s learning. M-learning is a three-year European research and development project that is investigating the potential role of mobile devices to engage young adults (aged 16 to 24 years) in learning activities and change their attitudes to learning. Research reviews as part of this project identified the overwhelming use of the mobile phone among young adults (ownership of 90\% among 15 to 19-year-olds, and 81\% of 20 to 24-year-olds in the UK). While it has been suggested that the use of text messaging may inhibit the learning of correct spelling, many young people who would not normally pick up a pen and write messages are enthusiastic texters, and there are suggestions that the verbal skills of some usually reticent boys are improving as they chat on their mobile phones. Playing games is a popular mobile phone activity. A survey of 746 young mobile phone users across the UK identified that almost half (49\%) of respondents expressed an interest in using phone-based games to improve their spelling and reading, especially among 16 to 19-year-olds\textsuperscript{116}. It is not known how many of these have basic skills needs.

\textsuperscript{115} Hurry et al (2004).

c) Providing book and reading experiences

Evidence from the OECD Programme for International Student Assessment shows that social background is a powerful factor influencing performance, but that poor performance does not automatically follow; 15-year-old students, whose parents have the lowest occupational status but who read regularly and feel positive about it, are better readers than students with home advantages and weaker reading engagement.

“Finding ways to engage students in reading may be one of the most effective ways to leverage social change.”

The library service has an important role in providing out-of-school experiences around books, access to the internet and homework support. A 2002 report\textsuperscript{117} revealed that while libraries generally make good provision for very young children and up to the end of key stage 1, there is less library activity specifically targeted at young people. Young people in care can experience problems with joining a library if there is no one adult willing to take long-term responsibility for their library membership. Library policy now recognises the need to make the process of joining a library easier. There are examples of libraries working in partnership to engage young people with libraries and books through the YouthBOOX initiative; libraries have been willing partners in the Positive Activities for Young People (PAYP) out-of-school programme but there is no evaluation evidence.

\textbf{Case study: YouthBOOX}
YouthBOOX is a partnership between library services and the youth service that brings socially excluded young people into contact with books and libraries. Evaluation (based on views provided by those working on the eight projects) identified successful ‘hooks’ that engaged young people in reading. These were the quality of relationships young people had with project workers and within the group; having books as part of the furniture in youth work settings; including magazines and non-fiction and, for some, graphic novels and websites; and involving the young people themselves in selecting the books. Peer recommendation was also a factor. A key part of the project was joint training, to raise understanding of the different professional skills of librarians and youth workers.

\textsuperscript{117} Chartered Institute of Library and Information Professionals (2002).
YouthBOOX Moving On built on these projects and targeted 12 to 16-year-olds with literacy problems, to improve their reading in an informal, supportive atmosphere. The shortage of suitable and attractive books at the right level was problematic, although ‘small’ books, poetry and non-fiction around relationships, music and health were successful hooks. The lack of basic skills awareness and assessment knowledge in open-access youth club/library venues made it more difficult for workers to assess young people’s literacy needs. The difficulties of brokering partnerships with basic skills providers were connected with a shortage of basic skills tutors; when they were available, they felt ill-equipped to work with challenging young people. The age of the young people made it difficult to secure proper support as they were too young for adult provision, while there was a lack of funds to ‘buy in’ basic skills support for the project.


d) Working in partnership and with intermediaries

**Working with the voluntary and community sector**

Good practice identified in the NSF\(^{118}\) showed that a critical success factor in engaging young people not in education, employment or training was the involvement of the voluntary and community sector. The fact that the 650 voluntary organisations delivering the programme were well established in their neighbourhoods meant they were able to convince young people to join up. Projects ‘hooked’ young people into informal but challenging learning through activities that already interested them – popular culture, music, sport, computers, motorbikes.

**Case study: Tackling Skills**

Tackling Skills, run through the Foyer Federation, the BBC and football clubs, aims to help young people develop their literacy and communication skills by using sport to inspire and motivate them. Participants are people aged 16 to 25 who have not responded to formal education and are residents of Foyers (and therefore in housing need) or are referred by the Prince’s Trust. BBC Sport reporters act as mentors to them, and over two months aim to build their confidence as they are trained at their local BBC studios in the skills needed to become a working journalist. Participants are also allocated a Foyer education worker and member of the local football club staff, who

Using intermediaries including mentors
A number of studies show that intermediaries, known and respected by at-risk young people, can play an influencing role in helping them to engage with literacy learning. These may be unofficial or official mentors, youth workers, librarians or others working in voluntary or community organisations. Where these mentors have received some literacy awareness training, and they are connected to specific learning institutions, their influence is likely to be greater. There is no research evidence that substantiates this point, but studies on adults with poor literacy skills suggest this is likely to be the case.

Two cross-departmental programmes that aim to reduce youth offending are the Youth Inclusion Programme and PAYP. Providing sports, arts and creative activities through PAYP encourages and supports young people to return to education and training. Early findings from an evaluation 119 confirmed that the success of educational activities undertaken as part of PAYP depended on the ability of key workers and providers to engage young people. Key workers – for example, youth and social workers – played a particularly significant role, ensuring that places on the scheme were filled by those most at risk, and developing trusting relationships with the young people.

Summary
Informal approaches work best to re-engage disaffected young people in literacy learning. Programmes need to involve young people in the running of the project, and develop relationships between the young people and skilled and caring staff, within a

structured and flexible approach. Employment-related skills, and qualifications
directly related to practical activities which could help young people get jobs, were
highly valued. Literacy should be built into activities that build on learners’ interests
using a wide range of materials, for example, newsletters, video projects, information
about budgeting, new technology or poetry. Some libraries have worked in
partnership with youth services to help disaffected young people engage with
libraries and books. The voluntary and community sector also provides access to at-
risk young people, who do not see them as ‘authority’ figures. Literacy awareness
training would help trusted intermediaries, including key workers, to be more
confident in their ability to identify a young person’s literacy needs and how he or she
might be best encouraged to learn.
7.5. Improving the skills of adults at risk

The 2003 Skills for Life Survey found that very few adults regarded their reading, writing or maths skills as below average, even among those with the lowest level of ability\textsuperscript{120}. Persuading adults with below average literacy skills that they could enjoy and benefit from learning is therefore a considerable challenge. Most qualifications that counted towards the July 2004 target\textsuperscript{121} were gained by adults who were happy to study at a further education college. Since more than half of these qualifications were gained by 16 to 18-year-olds, larger numbers of older adults with low skills need to be encouraged into learning\textsuperscript{122}.

“Whether we are talking about young people or adults, we’ve got to come back to what people want, rather than what we think they need. That’s a critical aspect of getting them engaged – perhaps then we will see more people reach the Level 2 standard in an area which will enrich their life, either socially or economically. Engaging people is the main issue and has a bearing on Government targets, but the funding often doesn’t cover it – the actual provision of the literacy and numeracy is what attracts funding. The issue of engagement for work-related skills is also key, particularly for men.”

Avril McIntyre, Chief Executive, LifeLine Community Projects

The Moser report called for diverse provision including community provision to reach out to individuals and groups not attracted to more traditional programmes\textsuperscript{123}. A framework for working with adults in a community-focused way to improve their literacy skills, one which is particularly suitable for attracting at-risk groups of learners, has been provided by an NRDC research study\textsuperscript{124}. The researchers investigated community-focused provision and concluded that it was a robust concept. It can best be understood in relation to three main issues: vision, development and delivery.

- The concept of ‘vision’ captures a distinctive, strategic way of thinking about local provision that means going to people where they are, valuing them and


\textsuperscript{121} The 2004 target was for 750,000 to gain qualifications. This was achieved.

\textsuperscript{122} Comptroller and Auditor General, National Audit Office (2004).


what they want to learn; a vision shared by both managers and tutors working at community level.

- Development, in practical terms, means having staff whose job involves going beyond the organisation, networking with community groups and organisations, talking to people who might be interested in learning, putting on taster courses that reflect their interests, and ensuring that provision continues to meet their interests but also challenges learners to move on.

- Delivery can be understood further in terms of a holistic view of learning; concern about learning situations; quality; and achievement and progression.

- A fourth issue, sustained funding, emerged as absolutely critical for a community-focused approach to be successful.

**Case study: Read On – Write Away! (ROWA!)**

ROWA! is an innovative community-focused literacy partnership, operating in Derbyshire and the ex-Coalfields area of north Nottinghamshire. It works with local partners to improve literacy levels, especially for those of all ages most disadvantaged by their literacy skills. In this way, it contributes to economic regeneration by improving the skills of the current and future workforce. ROWA! has developed a community literacy strategy based on a ‘cradle to grave’ approach, targeting areas of high social need. Schools are included in its strategy, while emphasising the family as a vehicle for learning. There is an emphasis on learning as a ‘fun activity’ and celebration of achievement at all levels. Core funding comes from Derbyshire Local Education Authority, Derby City Council and Derbyshire Learning and Skills Council.

*Information provided by project*

“**ROWA! has been effective in forming partnerships with and heightened literacy awareness among agencies and community organisations that are not involved in education. These partners now see themselves as part of a community literacy strategy.”**

a) Engaging adults and building relationships

Building social networks
A NIACE survey showed that friendships are important in any form of adult learning\textsuperscript{125}. The NRDC Adult Learners’ Lives study suggested that all relationships matter in learning, not just the relationship between learners and teacher, but also the social networks established with other learners\textsuperscript{126}. For adults with additional challenges, these relationships of trust provide much-needed support. For adult teachers, this may mean having to respond to learners’ pressing needs before, or sometimes concurrently with, tasks around improving their literacy. This requires inter-personal skills beyond professional teaching skills as well as knowledge about referral systems. For those seeking asylum, there needs to be more interagency response to support their social and learning needs\textsuperscript{127}.

Case study: LifeLine Community Projects
LifeLine Community Projects ran ESOL classes at a health centre, combined with post-natal support. A health visitor was present and the course covered useful English for new mothers, including information on accessing local services. Staff built a trusting relationship with one learner, who they discovered to be in urgent housing need. They were then able to accompany her to social services to help resolve the problem.

Information provided by project

b) Meeting needs and interests

Talking about experiences
Research\textsuperscript{128} has highlighted the significance of adult learners being able to talk about experiences: to find the language to describe them or to tell their painful stories; and the language used to cope with children and accessing services, including health and childcare. For ESOL learners in particular, “talk is work”, and group approaches are most effective since they can help develop friendships which are an important way of learning English and developing personal support systems\textsuperscript{129}.

\textsuperscript{125} National Institute for Adult Continuation Education (2003) \textit{Evaluation of LSC funded family programmes}, Leicester: National Institute for Adult Continuing Education.
\textsuperscript{126} D. Barton, R. Ivanic, Y. Appleby, R. Hodge and K. Tusting (2004) \textit{Adult Learners' Lives project: setting the scene}, London: National Research and Development Centre for adult literacy and numeracy.
\textsuperscript{127} Ibid.
\textsuperscript{128} Ibid.
Subjects that are potentially painful can be brought into the classroom through activities in which students have control over their contributions and the opportunity to voice what is important to them. Students in the group from which the above quote came were given disposable cameras and encouraged to talk about the photographs they took to illustrate their lives and experiences.

Writing as a motivator
While reading and writing are closely interconnected, there is some evidence that writing is a greater motivator than reading, and that adults are more likely to need help with their writing than with their reading. Engaging in writing is closely linked to the development of oral communication skills, and the mastery of spelling and writing is important to learners. The review findings also suggest that having real-life materials and communication may be significant factors in attracting and retaining students in learning.

Case study: Inside Voices
This project took the form of eight workshops, in which young offenders produced written work inspired by objects from loan boxes brought in by staff from Reading Museum. The workshops incorporated the history of the objects, participants’ perceptions of them, and the writing of short pieces of prose or poetry, led by a professional poet. The young men’s confidence was built by initially writing poems as a group: everyone, including the facilitator, contributed one line to the poem. The objects that worked best were ones related to topics the participants had not studied at school, such as Egyptian and Native American items, smoking objects and those connected with natural history. A book of their poems was produced, and a copy given to all participants. The young men appreciated the fact that they

Short, practical courses
For those in work, small amounts of learning, or informal learning through everyday experiences, may be more attractive than qualifications\textsuperscript{131}, which are not necessarily a motivating factor for those already in work. Practical courses such as first aid or food handling, which are of obvious benefit to both employer and employee, can be a good way of engaging learners without stigma and for learning providers to form partnerships with employees. They are also a way of attracting those out of work.

Case study: Ore Valley Resident Service Organisation (RSO)
As a social enterprise, Ore Valley was the first operational RSO in the UK. It trained long-term unemployed people on a deprived housing estate to carry out improvements to flats and communal areas on the estate. As a result, eight people from the Environmental Improvements and Decorating Teams took up NVQs at a local college, one of whom won Decorating Student of the Year. In addition, 60 tenants have so far participated in a ‘Changing Rooms’ course (DIY with embedded basic skills), of whom six have gone on to do other college courses and two have won private decorating contracts.


Role of new technology
Ufi research\textsuperscript{132} showed that 92\% of learners with literacy and numeracy needs found ICT motivating to learn. While two-thirds felt that ICT helped them to learn, in particular helping their concentration, just under half felt that the role of a tutor was important to their learning experience.

Case study: Severn Vale Housing and learndirect
Severn Vale Housing in Gloucestershire has opened a learndirect centre on a deprived estate, to provide community support and give residents a chance to develop their skills. The centre is located in an old post office, which was transformed with funding from the Gloucestershire Learning and Skills Council (LSC), and is managed by Tilad, a training provider. As the centre is

132 From the Ufi response to the Trust discussion paper by Bird (2004).
Money management
Having help in sorting out problems around money management or debt can attract adults with poor skills into learning provision. The Basic Skills Agency has developed taster courses and materials that combine financial literacy with basic skills support. Evaluation by the National Foundation for Educational Research on the early community pilots identified some of the challenges involved in helping socially excluded adults improve their financial literacy and basic skills, and the importance of having a flexible approach tailored to meet individual needs.\(^\text{133}\)

Interest in health
Very little is known about the difficulties people with poor basic skills experience when dealing with the healthcare system and the particular skills that could help them improve their health literacy. The best evidence comes from ContinYou (then the Community Education Development Centre) which developed effective approaches through its Literacy Links to Health programme.\(^\text{134}\) Recommendations include basic skills awareness training for all health staff so they know how to support clients with poor literacy, by referring them to local provision and by offering comfortable, non-threatening venues for courses. Health is an area that interests people greatly, perhaps for obvious reasons. A research study carried out in the US showed that health, as a content and a skill area, was valued highly by adult literacy practitioners because it motivated and interested learners.\(^\text{135}\)

c) Providing book and reading experiences

Integrated approaches using different art forms and basic skills were developed through the Adult and Community Learning Fund (ACLF) (1999-2003). This showed that, in community settings, a range of creative activities have often been successful in


\(^{134}\) H. Durbin and S. Summer (2001) Literacy links to health, Coventry: Community Education Development Centre (now ContinYou).

\(^{135}\) A literature review carried out as part of the Adult Learners Lives study – see Barton et al (2004).
engaging new learners who would not naturally take up discrete basic skills provision at college136.

The Quick Reads project, funded by the Learning and Skills Council, involved basic skills learners, basic skills coordinators from Essex County Council adult education service and Essex library staff in developing a permanent library stock for less confident readers. Evaluation137 showed that learners enjoyed the experience of finding accessible, interesting books, which led to them reading more, and to making more adventurous choices. With encouragement from tutors, many of those interviewed reported they had a read a book for the first time in years, and the whole experience had been beneficial in terms of increasing both reading and social confidence. The Ask Chris website provided opportunities for learners to write their own book reviews. This work was built upon in the Vital Link, a national development project, run in partnership by The Reading Agency, the National Literacy Trust and funded by the Museums, Libraries and Archives Council. The Vital Link promotes the use of libraries and books as a way of motivating and improving the reading skills of basic skills learners (see page 67).

**Case study: The Mobile Library Traveller Project, Essex**

The library service in Essex and the Essex Travellers Education Service are bringing books to families living on Traveller sites in the county. Mobile libraries make weekly stops at a number of sites and also at primary schools with a high proportion of Traveller pupils. Preparation for this project included cultural awareness training for librarians and careful selection of stock, to cover subjects known to be of interest to the Traveller community – for example, non-fiction books on animal keeping. Older adults, who may have low levels of literacy skills, particularly enjoy looking at well-illustrated Traveller heritage books and discussing the photographs, some of which contain extended family members. Many of the children are very enthusiastic, eagerly waiting for the van to arrive each week, and anecdotal evidence suggests that some children are helping their parents learn to read. Future plans include the provision of IT for Traveller families. The work is coordinated by a project manager funded by the Children’s Fund Essex, and other partners include the Gypsy Services Team and Sure Start.


---


d) Working in partnership and with intermediaries

Voluntary and community organisations
Voluntary and community organisations are essential partners in getting hard-to-reach people into learning, but there are barriers in getting them effectively involved\textsuperscript{138}. The voluntary and community sector can make a significant contribution to improving basic skills among groups of ‘hard-to-reach’ adult learners\textsuperscript{139}. The ACLF evaluation – based on the reports and statistics returned from the 318 ACLF projects managed by the Basic Skills Agency – indicated that the Agency’s organised training and support made a significant difference to the capacity of the sector to do effective literacy, numeracy and language work. This included basic skills awareness raising sessions for all front-line staff and, in some cases, with their partner organisations. Where capacity building was effective, it contributed to the organisation’s ability to sustain the work after the end of the ACLF project funding. However, the report identified that there were few incentives for mainstream providers to work in partnership with the voluntary sector to deliver basic skills, and that funding and policy drivers are needed if this situation is to change.

\textit{“I would say that empowerment is the key to motivation. It is [our] experience that if we empower people through building their confidence and give them the ability to think that there is a point to improving their skills ... then the desire to improve their skills will follow ... I think it is worth commenting that the VCS [voluntary and community sector] (with its fingers in many pies – including those projects not directly concerned with training/employment or skills) is well placed to build relationships with people ... [our] neighbourhood warden team have a vital role in building relationships with local communities and they could promote skills that would empower people...”}


Working with libraries, museums and archives
The vast majority of libraries, museums and archives believe they should support basic skills activity\textsuperscript{140}. A survey carried out by the Vital Link programme in February 2004 found that 99\% of library authorities felt this area of work was very or fairly important, and 87\% had partnerships in place to support people with low literacy levels. 71\% said that their staff had received some kind of basic skills awareness or stock development training\textsuperscript{141}.

\textsuperscript{138} Comptroller and Auditor General, National Audit Office (2004).
\textsuperscript{140} Barzey (2003).
\textsuperscript{141} From the Vital Link’s response to the Trust discussion paper by Bird (2004).
The Museums, Libraries and Archives Council conducted three ‘Need To Read’ adult basic skills projects in London, the North East and the North West, jointly funded by the DfES and the Department for Media, Culture and Sport. Research into the London Need to Read project[^142], using qualitative and quantitative methods, provided some evidence that libraries have recruited and re-engaged adult learners, especially from London’s hard-to-reach communities, with a higher than average proportion from black and ethnic minority communities, broadly in line with London’s population. Key success factors included the informal learning environment, the quality of the tutors and the one-to-one relationship. 55% of learners had not been involved in any formal adult learning before enrolling on a course at a library. The research highlighted that while there was clear commitment from the sector, much of the provision is due to short-term funding which is not sustainable in the long term.

“I think you get more confident as you go along. When I first came here I was really unconfident and then slowly I relaxed as I took more courses and that’s when I started to think about qualifications.”

Learner in library


**Business involvement**

Many at-risk adults will be in employment. This raises the issue of the vital role employers could play in improving the skills of their employees. There are a number of factors which may determine the effectiveness of basic skills programmes in the workplace, including conducting a learning needs analysis; considering basic skills as a part of the firm’s overall training and development programme; provision which is free to the worker and is offered in working time; and clear support for the programme from senior management[^143].

A research digest by the National Literacy Trust found that the benefits to employers investing in basic skills training can include increased productivity, fewer errors, safer workplaces, longer job tenure and less staff turnover, as well as enhanced consumer satisfaction[^144]. The positive effect on staff turnover was the particular conclusion of the Ananiadou review, which also found that employers who have sponsored basic skills training are generally positive about the experience[^145].


Business can contribute in many ways to support literacy improvement in communities. Support can come in different forms, through sponsoring literacy events; supporting employees as reading mentors or e-pals (email pen pals); or running employment training for vulnerable groups that includes a literacy or communication dimension. In the workplace, employers can play an important role to encourage their less-skilled employees to take up learning opportunities to improve the skills they need for work, prepare them for change or long-term restructuring. One example is Virgin Trains which has set up learning centres in some of its stations where employees and their families can undertake basic skills courses with confidentiality.

**Case study: KPMG**
The financial services company KPMG runs a volunteering scheme for its employees, in which they spend half an hour a week for 10 weeks helping a primary school child with their reading or numeracy skills. Every employee has access to three and a half hours of company time per month to undertake this kind of voluntary work. According to Mike Rake, company chairman, “Volunteering … gives the employees involved a sense of achievement and opens the door to a whole new set of skills.” For the child it can mean the opportunity to communicate with a neutral and supportive adult, and the provision of a working role model and insight into the world of work that may otherwise be absent from the child’s life.

KPMG also supports a ‘Ready for Work’ course for homeless people, involving two days of pre-employment training, a two-week work placement and the support of coaches and volunteers from the company. This represents a partnership between the corporate, voluntary and statutory sectors. The training includes communication skills such as active listening, self-presentation and an interview situation. In 2002-2004, 145 people gained employment through the programme; 400 employees participate in KPMG’s volunteering schemes each year.

*Information provided by KPMG*

A LSDA research study, despite little research literature on the specific subject of brokerage, identified different forms of learning brokerage and effective strategies for engaging non-traditional adult learners, to tackle skills needs and to improve social inclusion and equity.\(^{146}\) The essence of brokerage is to mediate between learners and institutions and it can be found in the community, at work, within an educational institution or in the voluntary sector. Though the term was not widely recognised, it should not be seen as the job of one individual, but rather as a process through networks or a chain of individuals.

Cieslik and Simpson\textsuperscript{147} identified different types of intermediaries who could support young people and adults with basic skills needs: those who were ‘basic skills’ aware (for example, literacy tutors); agencies which were more neutral (for example, trades unions, youth workers); and family members or friends. The researchers concluded that those who focused only on ‘basic skills problems’ – rather than considering the complexity of issues people with basic skills needs face – found developing bridges more problematic.

The Link Up project\textsuperscript{148} explored ways of recruiting \textit{Skills for Life} supporters, pioneering the development of the Level 2 Adult Support qualification. Evaluation showed that the quality of the training was good; 6,000 volunteers were recruited, primarily in deprived areas, providing many volunteers without a Level 2 qualification with the opportunity to take the national literacy test\textsuperscript{149}. Despite this success, the short-term nature of the project and the focus of the programme on training targets, meant that it was often not sufficiently embedded in partner organisations and networks, or local plans for building Skills for Life capacity and infrastructure, to achieve sustained outcomes. The research concludes that a ‘champion’ for Link Up activity is needed. The DfES-funded Frontline Workers Project is continuing to develop knowledge in this field in 12 locations, with a greater emphasis on building further on established networks.

The trades unions play an important intermediary role in the workplace. The Union Learning Fund has so far trained over 6,500 Union Learning Reps and engaged 36,000 learners, with basic skills being the main type of learning activity. Securing release from work to attend learning remains a challenge for some, however\textsuperscript{150}. In an in-depth study of a single workplace where workers were students on an adult literacy programme\textsuperscript{151}, some tensions too were identified. However, the programme did help develop students’ confidence and enhance their critical, analytical and problem-solving skills, with significant benefits to individuals, their employer and the trade union.

\textsuperscript{147} Cieslik and Simpson (in preparation).
\textsuperscript{148} The Link Up Project, jointly funded by the Department for Education and Skills and the Home Office’s Active Communities Unit, was managed by the Basic Skills Agency.
\textsuperscript{151} J. Ward (2004) \textit{Voices from the workface: perspectives from a local authority workplace literacy programme}, University of Sheffield School of Education Doctor of Education Programme.
Summary

Key to the process of engaging at-risk adults in learning is providing enjoyable learning experiences – in local venues where people feel comfortable – that encourage discussion around relevant issues, and building relationships, both between learner and teacher and between learners themselves. Further participation in learning, even though participants may not see themselves as ‘learners’ at this stage, is more likely through informal approaches, with minimum assessment of learning need so that they are not put off152. Providing participants with a choice of what to do next (a ‘menu’ of activities), using learning materials that are interesting and relevant, encourages a sense of ‘ownership’ and commitment to attend. Practitioners can build in literacy tasks to more practical activities where appropriate, be explicit about them and offer support where necessary. Recognising achievements, and celebrating success, builds confidence over time and an interest in more focused learning opportunities. For some, this will lead to the desire to acquire qualifications.

By allowing adult tutors to take a wider view of ‘literacy support’, rather than isolated skills learning, it is possible to help at-risk adults to develop the appropriate language and communication skills to deal more effectively with the issues they face. Once literacy is identified as one way of dealing with life’s stressful situations, engagement in the learning process deepens – along with the thirst for more skills. In these circumstances, a one-to-one approach may be needed.

A research-led framework has been developed for working with adults in a community-focused way, which particularly suits the complex needs of at-risk adults. Partners and intermediaries are key; business and the voluntary and community sector provide access to and additional support for at-risk groups, while libraries and museums offer different and interesting learning experiences and, in the case of libraries, books and other resources.

7.6 Model for building parental skills

A model for building parental skills is provided overleaf. It builds on two conceptual frameworks, first, the community-focused approach to improving adult basic skills, developed by the University of Sheffield (see page 60), and second, the model of the inter-generational effects of parental education, proposed by Feinstein and colleagues from the Centre for Research on the Wider Benefits of Learning (see footnote 38). The model for building parental skills illustrates the benefits of a community-focused approach that takes a wide view of engagement in literacy learning, including partnerships with other organisations. In this case, positive outcomes for both parent and child are shown, but the model could be applied equally to children, young people and adults who are not parents. The wider impacts for schools, communities and employment are indicated too.
Model for building parental skills

- Raise literacy awareness
- Develop shared vision

Partners
- LEAs
- Schools
- Colleges
- Children’s centres
- VCS
- Libraries
- Business
- Justice system
- Health services

Partners’ interests
- Children’s learning/behaviour
- Community participation/representation
- Housing issues
- Health links
- Money matters
- Employability

Engaging parents
- Engagement events
- Taster courses
- One-to-one contact

- Build relationships
- Identify parental concerns

- Address parental concerns
- Start dialogue around reading and literacy
- Agree future learning

Formal programmes
Informal/taster courses delivered over time

Parental outcomes
- Parental empowerment
- Increased parental confidence around literacy
- Improved parenting skills
- More literacy activity in the home/community
- Further interest in learning

Child outcomes
- Improved child behaviour
- More motivated and confident children
- More leisure reading and writing
- More literacy experiences

Further training to become a volunteer
Increased community participation eg classroom helper, school governor, community activist, learning champion
Specific skills training

Employment
Increased confidence and skills

Improved educational outcomes
Increased skills and qualifications
Training
Employment

© National Literacy Trust 2005
LEA = Local Education Authority
VCS = Voluntary and Community Sector
8. Conclusions

The acquisition of good literacy skills is not just a schools or even just an education issue. We need to look for ‘every which way we can’ to motivate and guide at-risk children, young people and adults, helping them to see good literacy skills as an important and attainable goal. We urgently need to find ways to build an individual’s ‘capabilities’ from an early age, and support families to develop early language skills in their young children and engage them in literacy-related activities. For those children, young people and adults outside a family structure, we need to find other ways to influence and help them develop competent literacy skills.

This process takes time. It requires good teachers, and trained intermediaries, to help break down barriers to literacy learning through listening to what at-risk individuals want out of life. It requires exciting and real-life learning experiences that help these individuals take the first small steps towards the achievement of their goals. However, there are major gaps, funding barriers, and a shortage of teachers with the necessary personal qualities to motivate and help those with multiple challenges. This makes it extremely difficult for individual schools and adult learning providers to make a sustained difference with those most at risk, outside of a locally developed strategic framework.

Some policymakers and service providers still need to be persuaded to see literacy as an inter-generational issue that cuts across, and brings benefits to, a wide range of policy areas; to see literacy as lifelong learning and wider than basic skills, and more than just an early years issue. The Government accepts the need to do more to support families most in need, and much good work has been pioneered in Sure Start. Childcare is becoming increasingly important, and rightly so, but there are concerns around providing quality learning environments that pay sufficient attention to children’s early communication skills. In addition, there is concern that providing childcare may, in the future, be at the expense of extending family learning provision. For parents, this would shut down important pathways into literacy and wider skills learning.

A cross-cutting family and community-focused literacy policy would open up opportunities for partnership working and provide much-needed support for mainstream service delivery. A few local authorities have had the vision to take this route, such as Derbyshire, Birmingham, Newcastle and Bolton, and some examples of their innovative partnership work are highlighted in this paper. Their top-level vision has been translated into on-the-ground partnerships with other service areas, business and the voluntary and community sector, with funding for a coordinator to drive activity and seek further funding sources. These partners have seen the benefits of being part of a community literacy strategy.
National government should recognise such innovation and create the policy climate for more authorities to do likewise. The implementation of Every Child Matters should include a specific reference to consider the language and literacy skills of children at risk, and how best to support families with their children’s literacy development. This would include opportunities to enjoy books and reading; the public library service could offer support.

Every extended school should develop a home and community literacy strand, with public libraries as central partners. The strand would include opportunities for parents to engage with their children’s learning, including family learning activities, and would address concerns that at-risk children and parents are not benefiting as much as they could from extended schools’ initiatives.

The Skills for Life Strategy Unit, Learning and Skills Councils, Local Strategic Partnerships and the Neighbourhood Renewal Unit should work with local authorities to promote coherence in policy, and a clear funding route, for a community-focused literacy strategy in order to support schools and adult learning providers in their work with at-risk groups, including parents. Resulting policies would recognise that many at-risk learners are not ready or able to take national qualifications, for many different reasons, and need to learn in different ways, and at their own pace. However, their engagement in learning will, in the long term, lead to personal, social and economic benefits.

Such a strategy will only work if there is a national effort to develop and embed the Skills for Life teaching and learning infrastructure and, crucially, more Government funding to extend the quantity and quality of the basic skills teaching force. An increase in the supply of trained and experienced basic skills teachers will mean there is greater capacity to deliver quality provision. This will mean a better deal for all adults with basic skills needs, including the most disadvantaged with complex needs and problems, such as those in the criminal justice system.

In addition, a developing infrastructure of community-focused basic skills tutors, with appropriate funding and local vision, would provide on-the-ground support for cross-cutting priorities around community participation and cohesion, giving at-risk adults not only the skills, but also ‘a voice’ and therefore a stake in their communities. It would provide a much-needed link into the learning agenda for neighbourhood renewal, tenant and wider community engagement initiatives.

Finally, a key question was raised for policymakers at the beginning of this paper: does the current policy climate support the literacy skills development of those most at risk, with resulting benefits for service delivery? The answer is no. While targets can be effective tools, they can result in unintended consequences. We need to look at how targets might be refined, or developed further, to ensure that they work
productively and realistically for those most at risk. In this way, the needs of such individuals will be brought into the mainstream, and activity to support them will be funded at a realistic level and not be dependent on short-term project funding.

The CASE study referred to earlier recognised there has been movement on some key poverty and social exclusion indicators. However, it concluded: “There is still a long way to go to reach an unambiguous picture of success, and sustained effort will be needed to make further progress.”\textsuperscript{153} If we address the issues raised in this paper, we will be closer to achieving our long-term goals of improved literacy skills, community participation and sustained employability for those of all ages most at risk.

\textsuperscript{153}Hills and Stewart (2005).
Acknowledgments

We are extremely grateful to Christina Clark, Neil McClelland and Julia Strong from the National Literacy Trust for their helpful comments and suggestions in the preparation of this paper. We are also grateful to Greg Brooks, John Bynner and Liz Richardson for their helpful comments at draft report stage.

We would like to thank the Basic Skills Agency for funding the Literacy and Social Inclusion Project. The Agency was instrumental in developing the early evidence base around basic skills and social exclusion, working with Professor John Bynner at the Centre for Longitudinal Studies at the Institute of Education, and using cohort study data from the 1958 National Child Development Study and the 1970 British Cohort Study.

We would also like to acknowledge the contribution of the Centre for the Analysis of Social Exclusion at the London School of Economics and of the Centre for Research on the Wider Benefits of Learning at the Institute of Education. The work of all three research centres has greatly helped our understanding of the links between learning, class and social exclusion. The National Research and Development Centre for adult literacy and numeracy (NRDC), established in 2002 by the Adult Basic Skills Strategy Unit (now the Skills for Life Strategy Unit) within the DfES, is looking at the relationship between economic development, social inclusion and basic skills through a number of research studies using a range of methods. NRDC has already published a number of reports, and reports in progress, to which reference has been made in this paper.

Viv Bird, Project Director, Literacy and Social Inclusion
Rodie Akerman, Policy Analyst – Social Inclusion
National Literacy Trust
Appendix 1 Background to the project

The Literacy and Social Inclusion Project is a three-year Basic Skills Agency National Support Project delivered by the National Literacy Trust. It started in November 2002.

The broad aims of the project are to:

1. Explore the key indicators of changed literacy practices and improved skills in the context of social inclusion
2. Identify ‘what works’ for those with few skills and educational experiences and attitudes that put them, or their children, at risk
3. Provide evidence about the step changes necessary to achieve outcomes at a personal level

Project Milestones

- A regional consultation process, carried out in June and July 2003 via Government Offices in the Regions, involved a large number of policy and practice areas operating across the age spectrum, including Sure Start, Children’s Fund, Connexions, Jobcentre Plus, prison and probation services, further education colleges, learndirect, local education authorities, schools and the voluntary and community sector. A separate consultation event was held in Wales in February 2004, in partnership with the Basic Skills Agency, which is responsible for overseeing the implementation of the National Strategy for Basic Skills in Wales.

- A website was launched in July 2003 providing information and analysis on policy, research and practice concerning home and community literacy practices across the five key themes:
  - Promoting early language and reading skills
  - Building parents’ skills
  - Out-of-school-hours literacy support
  - Motivating disaffected young people
  - Improving the skills of adults at risk

- A discussion paper, Literacy and Social Inclusion: the Policy Challenge, was published in April 2004.

- A seminar at 11 Downing Street in May 2004, organised by the Smith Institute, provided a lively debate of the issues raised in the paper with people from across the spectrum of education, business and the housing sector.
• A second consultation period took place during summer 2004 to encourage responses to the discussion paper from policymakers, practitioners and the academic community.

• Responses to:


For more information on the project visit www.literacytrust.org.uk/socialinclusion
Appendix 2 Use of services by disadvantaged people

The most disadvantaged people tend not to use services, including education and benefits, as much as others do, or gain as much from them when they do. This is true for people of all ages: parents with very young children, school-age children, young people and adults. For example, attendance in schools with disadvantaged catchment areas tends to be lower than schools in better-off neighbourhoods.

Evidence from the New Deal employment programmes shows that people with the most disadvantages have been least likely to participate, or to get jobs as a result. There is broad recognition that there are groups of people who “consistently emerge with poorer outcomes and for whom many services struggle to adapt their provision.”154 There are three broad, overlapping groups who seem to miss out: people with physical or mental health problems; those from some ethnic minority groups, including asylum seekers and refugees; and those who lack skills or qualifications, both formal qualifications and broader basic and life skills155.

Such groups have sometimes been called ‘hard to reach’. This is a contentious term and it might be fairer for the services themselves to be called hard to reach156. There are many reasons for this. People may not be aware that the services exist at all, or are meant for them; for example, the services may not have publicised themselves adequately; people may not have been able to read relevant publicity due to poor skills, may not have a telephone to enquire, or to be able to afford transport to get to them. They may come from a family or community where there is no tradition of accessing such services, or may be prevented from accessing them due to hectic family lives and childcare commitments, clashes of appointments with other services, or simply more pressing worries (for example, debt, children who truant or are excluded from school). They may have additional factors that make it difficult for them to access services, such as not being able to speak English well, being an asylum seeker or a Traveller, being homeless or having a drug or alcohol addiction.

154 Social Exclusion Unit (2004).
155 Ibid.
156 The Social Exclusion Unit has proposed there should be less focus on ‘hard to reach’ groups and more emphasis on developing services that are ‘easy to use’. Social Exclusion Unit (2004) The impact of government policy on social exclusion among children 0-13 and their families, London: Office of the Deputy Prime Minister.